UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN DEVICES CONTAINING NON-VOLATILE MEMORY AND PRODUCTS CONTAINING THE SAME Inv. No. 337-TA-922

NOTICE OF INSTITUTION OF INVESTIGATION

Institution of investigation pursuant to 19 U.S.C. § 1337

AGENCY: U.S. International Trade Commission

ACTION: Notice

SUMMARY: Notice is hereby given that a complaint was filed with the U.S. International Trade Commission on June 27, 2014, under section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. § 1337, on behalf of Macronix International Co., Ltd. of Taiwan and Macronix America, Inc., of Milpitas, California. The complaint alleges violations of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain devices containing non-volatile memory and products containing the same by reason of infringement of certain claims of U.S. Patent No. 5,998,826 ("the '826 patent"); U.S. Patent No. 6,031,757 ("the '757 patent"); U.S. Patent No. 8,341,324 ("the '324 patent"); and U.S. Patent No. 8,341,330 ("the '330 patent"). The complaint further alleges that an industry in the United States exists as required by subsection (a)(2) of section 337.

The complainants request that the Commission institute an investigation and, after the investigation, issue a general exclusion order, or in the alternative a limited exclusion order, and cease and desist orders.

ADDRESSES: The complaint, except for any confidential information contained therein, is available for inspection during official business hours (8:45 a.m. to 5:15 p.m.) in the Office of the Secretary, U.S. International Trade Commission, 500 E Street, S.W., Room 112, Washington, D.C. 20436, telephone (202) 205-2000. Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on (202) 205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at (202) 205-2000. General information concerning the Commission may also be obtained by accessing its internet server at http://www.usitc.gov. The public record for this investigation may be viewed on the Commission's electronic docket (EDIS) at http://edis.usitc.gov.

FOR FURTHER INFORMATION CONTACT: The Office of Unfair Import Investigations, U.S. International Trade Commission, telephone (202) 205-2560.

AUTHORITY: The authority for institution of this investigation is contained in section 337 of the Tariff Act of 1930, as amended, and in section 210.10 of the Commission's Rules of Practice and Procedure, 19 C.F.R. § 210.10 (2014).

SCOPE OF INVESTIGATION: Having considered the complaint, the U.S. International Trade Commission, on July 28, 2014, ORDERED THAT –

- (1) Pursuant to subsection (b) of section 337 of the Tariff Act of 1930, as amended, an investigation be instituted to determine whether there is a violation of subsection (a)(1)(B) of section 337 in the importation into the United States, the sale for importation, or the sale within the United States after importation of certain devices containing non-volatile memory and products containing the same by reason of infringement of one or more of claims 1, 2, 5, 7, 11, 12, 13, 17, and 27-29 of the '826 patent; claims 1, 2, 4, 5, 7, 8, 12, and 13 of the '757 patent; claims 1, 2, 7, 8, and 15 of the '324 patent; and claims 1-3 and 8-11 of the '330 patent, and whether an industry in the United States exists as required by subsection (a)(2) of section 337;
- (2) Pursuant to Commission Rule 210.50(b)(1), 19 C.F.R. § 210.50(b)(1), the presiding administrative law judge shall take evidence or other information and hear arguments from the parties and other interested persons with respect to the public interest in this investigation, as appropriate, and provide the Commission with findings of fact and a recommended determination on this issue, which shall be limited to the statutory public interest factors set forth in 19 U.S.C. §§ 1337(d)(1), (f)(1), (g)(1);
- (3) For the purpose of the investigation so instituted, the following are hereby named as parties upon which this notice of investigation shall be served:
 - (a) The complainants are:

Macronix International Co., Ltd. No. 16, Li-Hsin Road, Science Park Hsin-chu, Taiwan

Macronix America, Inc. 680 North McCarthy Boulevard Suite 200 Milpitas, CA 95035

(b) The respondents are the following entities alleged to be in violation of section 337, and are the parties upon which the complaint is to be served:

Spansion Inc. 915 DeGuigne Drive Sunnyvale, CA 94085 Spansion LLC 915 DeGuigne Drive Sunnyvale, CA 94085

Spansion (Thailand) Ltd. 229 Moo 4 Changwattana Road Pakkred, Nonthaburi 11120 Thailand

Aerohive Networks, Inc. 330 Gibralter Drive Sunnyvale, CA 94089

Allied Telesis, Inc. 19800 N. Creek Parkway, Suite 100 Bothell, WA 98011

Ciena Corporation 7035 Ridge Road Hanover, MD 20176

Delphi Automotive PLC Courteney Road, Hoath Way Gillingham, Kent ME8 ORU United Kingdom

Delphi Automotive Systems, LLC 5725 Delphi Drive Troy, MI 48098

Polycom, Inc. 6001 America Center Drive San Jose, CA 95002

Ruckus Wireless, Inc. 350 West Java Drive Sunnyvale, CA 94089

ShoreTel Inc. 960 Stewart Drive Sunnyvale, CA 94085

Tellabs, Inc. 1415 West Diehl Road Naperville, IL 60563 Tellabs North America, Inc. 1415 West Diehl Road Naperville, IL 60563

TiVo Inc. 2160 Gold Street San Jose, CA 95002

- (c) The Office of Unfair Import Investigations, U.S. International Trade Commission, 500 E Street, S.W., Suite 401, Washington, D.C. 20436; and
- (4) For the investigation so instituted, the Chief Administrative Law Judge, U.S. International Trade Commission, shall designate the presiding Administrative Law Judge.

Responses to the complaint and the notice of investigation must be submitted by the named respondents in accordance with section 210.13 of the Commission's Rules of Practice and Procedure, 19 C.F.R. § 210.13. Pursuant to 19 C.F.R. §§ 201.16(e) and 210.13(a), such responses will be considered by the Commission if received not later than 20 days after the date of service by the Commission of the complaint and the notice of investigation. Extensions of time for submitting responses to the complaint and the notice of investigation will not be granted unless good cause therefor is shown.

Failure of a respondent to file a timely response to each allegation in the complaint and in this notice may be deemed to constitute a waiver of the right to appear and contest the allegations of the complaint and this notice, and to authorize the administrative law judge and the Commission, without further notice to the respondent, to find the facts to be as alleged in the complaint and this notice and to enter an initial determination and a final determination containing such findings, and may result in the issuance of an exclusion order or a cease and desist order or both directed against the respondent.

By order of the Commission.

Lisa R. Barton

Secretary to the Commission

Issued: July 29, 2014