

UNITED STATES INTERNATIONAL TRADE COMMISSION
Washington, D.C.

In the Matter of

**CERTAIN DIGITAL CABLE AND
SATELLITE PRODUCTS, SET-TOP
BOXES, GATEWAYS AND
COMPONENTS THEREOF**

Inv. No. 337-TA-1049

INSTITUTION OF INVESTIGATION

Institution of Investigation pursuant to 19 U.S.C. § 1337

AGENCY: U.S. International Trade Commission

ACTION: Notice

SUMMARY: Notice is hereby given that a complaint was filed with the U.S. International Trade Commission on March 10, 2017, under section 337 of the Tariff Act of 1930, as amended, on behalf of Sony Corporation of Japan and Sony Electronics Inc. of San Diego, California. A letter supplementing the complaint was filed on March 28, 2017. The complaint alleges violations of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain digital cable and satellite products, set-top boxes, gateways, and components thereof by reason of infringement of certain claims of U.S. Patent No. RE45,126 (“the ’126 patent”); U.S. Patent No. 6,467,093 (“the ’093 patent”); U.S. Patent No. 8,032,919 (“the ’919 patent”); U.S. Patent No. 6,556,221 (“the ’221 patent”); and U.S. Patent No. 6,915,525 (“the ’525 patent”). The complaint further alleges that an industry in the United States exists as required by the applicable Federal Statute.

The complainants request that the Commission institute an investigation and, after the investigation, issue a limited exclusion order and cease and desist orders.

ADDRESSES: The complaint, except for any confidential information contained therein, is available for inspection during official business hours (8:45 a.m. to 5:15 p.m.) in the Office of the Secretary, U.S. International Trade Commission, 500 E Street, S.W., Room 112, Washington, D.C. 20436, telephone (202) 205-2000. Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission’s TDD terminal on (202) 205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at (202) 205-2000. General information concerning the Commission may also be obtained by accessing its internet server at <https://www.usitc.gov>. The public record for this investigation may be viewed on the Commission's electronic docket (EDIS) at <https://edis.usitc.gov>.

FOR FURTHER INFORMATION CONTACT: The Office of Unfair Import Investigations, U.S. International Trade Commission, telephone (202) 205-2560.

AUTHORITY: The authority for institution of this investigation is contained in section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337 and in section 210.10 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10 (2016).

SCOPE OF INVESTIGATION: Having considered the complaint, the U.S. International Trade Commission, on April 11, 2017, **ORDERED THAT** –

(1) Pursuant to subsection (b) of section 337 of the Tariff Act of 1930, as amended, an investigation be instituted to determine whether there is a violation of subsection (a)(1)(B) of section 337 in the importation into the United States, the sale for importation, or the sale within the United States after importation of certain digital cable and satellite products, set-top boxes, gateways, and components thereof by reason of infringement of one or more of claim 26 of the '126 patent; claims 1, 3, and 8 of the '093 patent; claims 1-16 of the '919 patent; claims 1-6 and 12-16 of the '221 patent; and claims 1, 3-5, 7, 8, 34, 36, and 37 of the '525 patent, and whether an industry in the United States exists as required by subsection (a)(2) of section 337;

(2) Pursuant to Commission Rule 210.50(b)(1), 19 C.F.R. 210.50(b)(1), the presiding Administrative Law Judge shall take evidence or other information and hear arguments from the parties or other interested persons with respect to the public interest in this investigation, as appropriate, and provide the Commission with findings of fact and a recommended determination on this issue, which shall be limited to the statutory public interest factors set forth in 19 U.S.C. sections 1337(d)(1), (f)(1), (g)(1);

(3) For the purpose of the investigation so instituted, the following are hereby named as parties upon which this notice of investigation shall be served:

(a) The complainants are:

Sony Corporation
1-7-1 Konan, Minato-ku
Tokyo, 108-0075
Japan

Sony Electronics Inc.
16530 Via Esprillo
San Diego, CA 92127

(b) The respondents are the following entities alleged to be in violation of section 337, and are the parties upon which the complaint is to be served:

ARRIS International plc
3871 Lakefield Drive
Suwanee, GA 30024

ARRIS Group, Inc.
3871 Lakefield Drive
Suwanee, GA 30024

ARRIS Technology, Inc.
101 Tournament Drive
Horsham, PA 19044

ARRIS Enterprises LLC
3871 Lakefield Drive
Suwanee, GA 30024

ARRIS Solutions, Inc.
3871 Lakefield Drive
Suwanee, GA 30024

ARRIS Global Ltd. (formerly Pace Ltd.)
Victoria Road, Saltaire
West Yorkshire BD18 3LF
England

Pace Americas, LLC
3701 FAU Boulevard
Suite 200
Boca Raton, FL 33431

Pace Americas Holdings, Inc.
3701 FAU Boulevard
Suite 200
Boca Raton, FL 33431

Pace USA LLC
3701 FAU Boulevard
Suite 200
Boca Raton, FL 33431

Pace Americas Investments LLC
3701 FAU Boulevard
Suite 200
Boca Raton, FL 33431

(c) The Office of Unfair Import Investigations, U.S. International Trade Commission, 500 E Street, SW, Suite 401, Washington, DC 20436; and

(4) For the investigation so instituted, the Chief Administrative Law Judge, U.S. International Trade Commission, shall designate the presiding Administrative Law Judge.

Responses to the complaint and the notice of investigation must be submitted by the named respondents in accordance with section 210.13 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.13. Pursuant to 19 C.F.R. 201.16(e) and 210.13(a), such responses will be considered by the Commission if received not later than 20 days after the date of service by the Commission of the complaint and the notice of investigation. Extensions of time for submitting responses to the complaint and the notice of investigation will not be granted unless good cause therefor is shown.

Failure of a respondent to file a timely response to each allegation in the complaint and in this notice may be deemed to constitute a waiver of the right to appear and contest the allegations of the complaint and this notice, and to authorize the administrative law judge and the Commission, without further notice to the respondent, to find the facts to be as alleged in the complaint and this notice and to enter an initial determination and a final determination containing such findings, and may result in the issuance of an exclusion order or a cease and desist order or both directed against the respondent.

By order of the Commission.

A handwritten signature in black ink, appearing to read 'Lisa R. Barton', written in a cursive style.

Lisa R. Barton
Secretary to the Commission

Issued: April 12, 2017