## UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN PERSONAL
TRANSPORTERS, COMPONENTS
THEREOF, AND MANUALS THEREFOR

Investigation No. 337-TA-935

#### **GENERAL EXCLUSION ORDER**

The Commission has determined that there is a violation of Section 337 of the Tariff Act of 1930, as amended (19 U.S.C. § 1337), in the unlawful importation and sale of certain personal transporters covered by claims 1, 2 and 4-7 of U.S. Patent No. 8,830,048 ("the '048 patent") asserted in this investigation.

Having reviewed the record of this investigation, including the written submissions of the parties, the Commission has made its determination on the issues of remedy, the public interest, and bonding. The Commission has determined that a general exclusion order from entry for consumption is necessary to prevent circumvention of an exclusion order limited to products of named persons and because there is a pattern of violation of Section 337 and it is difficult to identify the source of infringing products. Accordingly, the Commission has determined to issue a general exclusion order prohibiting the unlicensed importation of infringing personal transporters ("covered products").

The Commission has also determined that the public interest factors enumerated in 19 U.S.C. § 1337(d) do not preclude the issuance of the general exclusion order, and that the

bond during the Presidential review period shall be in the amount of 100 percent of the entered value for all covered products in question.

Accordingly, the Commission hereby **ORDERS** that:

- 1. Personal transporters covered by one or more of claims 1, 2 and 4-7 of the '048 patent are excluded from entry into the United States for consumption, entry for consumption from a foreign-trade zone, or withdrawal from a warehouse for consumption, for the remaining term of the patent, except under license of the patent owner or as provided by law.
- 2. Notwithstanding paragraph 1 of this Order, the aforesaid personal transporters are entitled to entry into the United States for consumption, entry for consumption from a foreign-trade zone, or withdrawal from a warehouse for consumption under bond in the amount of one hundred (100) percent of the entered value of the products, pursuant to subsection (j) of Section 337 (19 U.S.C. § 1337(j)) and the Presidential memorandum for the United States Trade Representative of July 21, 2005 (70 Fed. Reg. 43,251), from the day after this Order is received by the United States Trade Representative until such time as the United States Trade Representative notifies the Commission that this Order is approved or disapproved but, in any event, not later than sixty days after the date of receipt of this Order.
- 3. At the discretion of U.S. Customs and Border Protection ("CBP") and pursuant to procedures that it establishes, persons seeking to import personal transporters that are potentially subject to this Order may be required to certify that they are familiar with the terms of this Order, that they have made appropriate inquiry, and

thereupon state that, to the best of their knowledge and belief, the products being imported are not excluded from entry under paragraph 1 of this Order.

At its discretion, CBP may require persons who have provided the certification described in this paragraph to furnish such records or analyses as are necessary to substantiate the certification.

- 4. In accordance with 19 U.S.C. § 1337(l), the provisions of this Order shall not apply to personal transporters imported by and for the use of the United States, or imported for, and to be used for, the United States with the authorization or consent of the Government.
- 5. The Commission may modify this Order in accordance with the procedures described in section 210.76 of the Commission's Rules of Practice and Procedure (19 C.F.R. § 210.76).
- 6. The Commission Secretary shall serve copies of this Order upon each party of record in this investigation and upon CBP.
- Notice of this Order shall be published in the Federal Register.By order of the Commission.

Lisa R. Barton Secretary to the Commission

Issued: March 10, 2016

### UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN PERSONAL
TRANSPORTERS, COMPONENTS
THEREOF, AND MANUALS THEREFOR

Investigation No. 337-TA-935

#### LIMITED EXCLUSION ORDER

The Commission has determined that there is a violation of Section 337 of the Tariff Act of 1930, as amended (19 U.S.C. § 1337), in the unlawful importation, sale for importation, and sale after importation by (1) UPTECH Robotics Technology Co., Ltd. ("UPTECH"), (2) Beijing Universal Pioneering Technology Co., Ltd. ("U.P. Technology"), (3) Beijing Universal Pioneering Robotics Co., Ltd. ("U.P. Robotics"), (4) FreeGo High-Tech Corporation Limited ("FreeGo China"), (5) EcoBoomer Co. Ltd. ("EcoBoomer"), and (6) Roboscooters.com ("Roboscooters") (collectively, Respondents) of certain personal transporters, components thereof, and manuals therefor covered by one or more of claims 1 and 4 of U.S. Patent No. 6,789,640 ("the '640 patent"); claims 1, 3 and 7 of U.S. Patent No. 7,275,607 ("the '607 patent"); the claim of Design Patent No. D551,722 ("the '722 design patent"); the claim of U.S. Design Patent No. D551,592 ("the '592 design patent"); and U.S. Copyright Registration No. TX-7-800-563 ("the Asserted Copyright").

Having reviewed the record of this investigation, including the written submissions of the parties, the Commission has made its determination on the issues of remedy, the public interest, and bonding. The Commission has determined that the appropriate form of relief is a limited

exclusion order prohibiting the unlicensed entry of covered personal transporters, components thereof, and manuals therefor manufactured for or on behalf of the Respondents or any of their affiliated companies, parents, subsidiaries, licensees, or other related business entities, or their successors or assigns.

The Commission has also determined that the public interest factors enumerated in 19 U.S.C. § 1337(d) do not preclude the issuance of the limited exclusion order, and that the bond during the Presidential review period shall be one hundred (100) percent of the entered value of the products.

Accordingly, the Commission hereby **ORDERS** that:

- 1. Personal transporters, components thereof, and manuals therefor that are covered by one or more of claims 1 and 4 of the '640 patent manufactured abroad by or on behalf of, or imported by or on behalf of, the respondents UPTECH, U.P. Technology, U.P. Robotics, FreeGo China, EcoBoomer, and Roboscooters or any of their affiliated companies, parents, subsidiaries, or other related business entities, or their successors or assigns, are excluded from entry into the United States for consumption, entry for consumption from a foreign trade zone, or withdrawal from a warehouse for consumption, for the remaining term of the patent, except under license of the patent owner or as provided by law.
- 2. Personal transporters, components thereof, and manuals therefor that are covered by one or more of claims 1, 3, and 7 of the '607 patent manufactured abroad by or on behalf of, or imported by or on behalf of, the respondents UPTECH, U.P. Technology, U.P. Robotics, FreeGo China, EcoBoomer, and Roboscooters or any of their affiliated companies, parents, subsidiaries, or other related business entities, or their successors or assigns, are excluded from entry into the United States for consumption, entry for consumption from a foreign trade zone, or

withdrawal from a warehouse for consumption, for the remaining term of the patent, except under license of the patent owner or as provided by law.

- 3. Personal transporters, components thereof, and manuals therefor that are covered by the claim of the '722 design patent manufactured abroad by or on behalf of, or imported by or on behalf of, U.P. Robotics, U.P. Technology, or UPTECH, or any of their affiliated companies, parents, subsidiaries, or other related business entities, or their successors or assigns, are excluded from entry into the United States for consumption, entry for consumption from a foreign trade zone, or withdrawal from a warehouse for consumption, for the remaining term of the patent, except under license of the patent owner or as provided by law.
- 4. Personal transporters, components thereof, and manuals therefor that are covered by the claim of the '592 design patent manufactured abroad by or on behalf of, or imported by or on behalf of, U.P. Robotics, U.P. Technology, UPTECH, FreeGo China, or Roboscooters, or any of their affiliated companies, parents, subsidiaries, or other related business entities, or their successors or assigns, are excluded from entry into the United States for consumption, entry for consumption from a foreign trade zone, or withdrawal from a warehouse for consumption, for the remaining term of the patent, except under license of the patent owner or as provided by law.
- 5. Personal transporters, components thereof, and manuals therefor that are covered by the Asserted Copyright manufactured abroad by or on behalf of, or imported by or on behalf of, U.P. Robotics, U.P. Technology, or UPTECH, or any of their affiliated companies, parents, subsidiaries, or other related business entities, or their successors or assigns, are excluded from entry into the United States for consumption, entry for consumption from a foreign trade zone, or withdrawal from a warehouse for consumption, for the remaining term of the copyright, except under license of the copyright owner or as provided by law.

- 6. Notwithstanding paragraphs 1-5 of this Order, the aforesaid personal transporters, components thereof, and manuals therefor are entitled to entry into the United States for consumption, entry for consumption from a foreign-trade zone, or withdrawal from a warehouse for consumption under bond in the amount of one hundred (100) percent of the entered value of the products, pursuant to subsection (j) of Section 337 (19 U.S.C. § 1337(j)) and the Presidential Memorandum for the United States Trade Representative of July 21, 2005 (70 Fed. Reg. 43,251), from the day after this Order is received by the United States Trade Representative until such time as the United States Trade Representative notifies the Commission that this Order is approved or disapproved but, in any event, not later than sixty days after the date of receipt of this Order.
- 7. At the discretion of U.S. Customs and Border Protection ("CBP") and pursuant to procedures that it establishes, persons seeking to import personal transporters, components thereof, and manuals therefor that are potentially subject to this Order may be required to certify that they are familiar with the terms of this Order, that they have made appropriate inquiry, and thereupon state that, to the best of their knowledge and belief, the products being imported are not excluded from entry under paragraphs 1-5 of this Order. At its discretion, CBP may require persons who have provided the certification described in this paragraph to furnish such records or analyses as are necessary to substantiate the certification.
- 8. In accordance with 19 U.S.C. § 1337(l), the provisions of this Order shall not apply to personal transporters, components thereof, and manuals therefor imported by and for the use of the United States, or imported for, and to be used for, the United States with the authorization or consent of the Government.
  - 9. The Commission may modify this Order in accordance with the procedures

described in section 210.76 of the Commission's Rules of Practice and Procedure (19 C.F.R. § 210.76). The Secretary shall serve copies of this Order upon each party of record in this investigation and upon CBP.

10. Notice of this Order shall be published in the Federal Register.

By order of the commission.

Lisa R. Barton

Secretary to the Commission

Issued: March 10, 2016

### UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, DC

In the Matter of

CERTAIN PERSONAL TRANSPORTERS, COMPONENTS THEREOF, AND MANUALS THEREFOR Investigation No. 337-TA-935

#### **CEASE AND DESIST ORDER**

and desist from conducting any of the following activities in the United States: importing, selling, marketing, advertising, distributing, transferring (except for exportation), and soliciting U.S. agents or distributors for personal transporters, components thereof, and manuals therefor that are covered by one or more of claims 1, 2 and 4-7 of U.S. Patent No. 8,830,048 ("the '048 patent"); claims 1 and 4 of U.S. Patent No. 6,789,640 ("the '640 patent"); and claims 1, 3 and 7 of U.S. Patent No. 7,275,607 ("the '607 patent"), in violation of section 337 of the Tariff Act of 1930, as amended (19 U.S.C. § 1337).

### I. Definitions

As used in this order:

- (A) "Commission" shall mean the United States International Trade Commission.
- (B) "Complainants" shall mean Segway, Inc. of Bedford, New Hampshire, and DEKA Products Limited Partnership of Manchester, New Hampshire.
- (C) "Respondent" shall mean EcoBoomer Co. Ltd. of Malibu, California.

- (D) "Person" shall mean an individual, or any non-governmental partnership, firm, association, corporation, or other legal or business entity other than Respondent or its majority-owned or controlled subsidiaries, successors, or assigns.
- "United States" shall mean the fifty States, the District of Columbia, and Puerto Rico.
- (F) The terms "import" and "importation" refer to importation for entry for consumption under the customs laws of the United States.
- (G) The term "covered products" shall mean personal transporters, components thereof, and manuals therefor covered by one or more of claims 1, 2 and 4-7 of the '048 patent; claims 1 and 4 of the '640 patent; and claims 1, 3 and 7 of the '607 patent.

## II. Applicability

The provisions of this Cease and Desist order shall apply to Respondent and to any of its principals, stockholders, officers, directors, employees, agents, licensees, distributors, controlled (whether by stock ownership or otherwise) and majority-owned business entities, successors, and assigns, and to each of them, insofar as they are engaging in conduct prohibited by section III, *infra*, for, with, or otherwise on behalf of Respondent.

## III. Conduct Prohibited

The following conduct of Respondent in the United States is prohibited by this order. For the remaining term of the relevant one or more of the '048 patent, the '640 patent, and the '607 patent, Respondent shall not:

(A) import or sell for importation into the United States covered products;

- (B) market, distribute, sell, or otherwise transfer (except for exportation), in the United States imported covered products;
- (C) advertise imported covered products
- (D) solicit U.S. agents or distributors for imported covered products; or
- (E) aid or abet other entities in the importation, sale for importation, sale after importation, transfer, or distribution of covered products.

### IV. Conduct Permitted

Notwithstanding any other provision of this order, specific conduct otherwise prohibited by the terms of this order shall be permitted if, in a written instrument, the owner of the relevant '048 patent, '640 patent, and/or '607 patent licenses or authorizes such specific conduct, or such specific conduct is related to the importation or sale of covered products by or for the United States.

### V. Reporting

For purposes of this requirement, the reporting periods shall commence on July 1 of each year and shall end on the subsequent June 30. The first report required under this section shall cover the period from the date of issuance of this order through June 30, 2016. This reporting requirement shall continue in force until such time as Respondent has truthfully reported, in two consecutive timely filed reports, that it has no inventory of covered products in the United States.

Within thirty (30) days of the last day of the reporting period, Respondent shall report to the Commission (a) the quantity in units and the value in dollars of covered products that it has (i) imported and/or (ii) sold in the United States after importation during the reporting period,

and (b) the quantity in units and value in dollars of reported covered products that remain in inventory in the United States at the end of the reporting period.

When filing written submissions, Respondent must file the original document electronically on or before the deadlines stated above and submit eight (8) true paper copies to the Office of the Secretary by noon the next day pursuant to section 210.4(f) of the Commission's Rules of Practice and Procedure (19 C.F.R. § 210.4(f)). Submissions should refer to the investigation number ("Inv. No. 337-TA-935") in a prominent place on the cover pages and/or the first page. (See Handbook for Electronic Filing Procedures,

<a href="http://www.usitc.gov/secretary/fed\_reg\_notices/rules/handbook\_on\_electronic\_filing.pdf">http://www.usitc.gov/secretary/fed\_reg\_notices/rules/handbook\_on\_electronic\_filing.pdf</a>).

Persons with questions regarding filing should contact the Secretary (202-205-2000). If

Respondent desires to submit a document to the Commission in confidence, it must file the original and a public version of the original with the Office of the Secretary and must serve a copy of the confidential version on Complainants' counsel. \(^1\)

Any failure to make the required report or the filing of any false or inaccurate report shall constitute a violation of this order, and the submission of a false or inaccurate report may be referred to the U.S. Department of Justice as a possible criminal violation of 18 U.S.C. § 1001.

### VI. Record-Keeping and Inspection

(A) For the purpose of securing compliance with this Order, Respondent shall retain any and all records relating to the sale, offer for sale, marketing, or distribution in the United States of covered products, made and received in the usual and

<sup>&</sup>lt;sup>1</sup> Complainants must file a letter with the Secretary identifying the attorney to receive reports and bond information associated with this order. The designated attorney must have signed on to the protective order entered in the investigation.

- ordinary course of business, whether in detail or in summary form, for a period of three (3) years from the close of the fiscal year to which they pertain.
- (B) For the purposes of determining or securing compliance with this order and for no other purpose, subject to any privilege recognized by the federal courts of the United States, and upon reasonable written notice by the Commission or its staff, authorized representatives of the Commission shall be permitted access and the right to inspect and copy in Respondent's principal offices during office hours, and in the presence of counsel or other representatives if Respondent so chooses, all books, ledgers, accounts, correspondence, memoranda, and other records and documents, in detail and in summary form, that must be retained under subparagraph VI(A) of this order.

## VII. Service of Cease and Desist order

Respondent is ordered and directed to:

- (A) Serve, within fifteen days after the effective date of this order, a copy of this order upon each of its respective officers, directors, managing agents, agents, and employees who have any responsibility for the importation, marketing, distribution, or sale of imported covered products in the United States;
- (B) Serve, within fifteen days after the succession of any persons referred to in subparagraph VII(A) of this order, a copy of the order upon each successor; and
- (C) Maintain such records as will show the name, title, and address of each person upon whom the order has been served, as described in subparagraphs VII(A) and VII(B) of this order, together with the date on which service was made.

The obligations set forth in subparagraphs VII(B) and VII(C) shall remain in effect until the latest expiration date of the '048 patent, the '640 patent, and the '607 patent.

#### VIII. Confidentiality

Any request for confidential treatment of information obtained by the Commission pursuant to sections V-VI of this order should be made in accordance with section 201.6 of the Commission's Rules of Practice and Procedure (19 C.F.R. § 201.6). For all reports for which confidential treatment is sought, Respondent must provide a public version of such report with confidential information redacted.

#### IX. Enforcement

Violation of this order may result in any of the actions specified in section 210.75 of the Commission's Rules of Practice and Procedure (19 C.F.R. § 210.75), including an action for civil penalties under section 337(f) of the Tariff Act of 1930 (19 U.S.C. § 1337(f)), as well as any other action that the Commission deems appropriate. In determining whether Respondent is in violation of this order, the Commission may infer facts adverse to Respondent if it fails to provide adequate or timely information.

### X. Modification

The Commission may amend this order on its own motion or in accordance with the procedure described in section 210.76 of the Commission's Rules of Practice and Procedure (19 C.F.R. § 210.76).

### XI. Bonding

The conduct prohibited by section III of this order may be continued during the sixty-day period in which this order is under review by the United States Trade Representative, as delegated by the President (70 Fed. Reg. 43,251 (Jul. 21, 2005)), subject to Respondent posting of a bond in the amount of one hundred percent (100%) of the entered value of the covered products. This bond provision does not apply to conduct that is otherwise permitted by section IV of this order. Covered products imported on or after the date of issuance of this order are subject to the entry bond as set forth in the exclusion orders issued by the Commission, and are not subject to this bond provision.

The bond is to be posted in accordance with the procedures established by the Commission for the posting of bonds by complainants in connection with the issuance of temporary exclusion orders. (*See* 19 C.F.R. § 210.68). The bond and any accompanying documentation are to be provided to and approved by the Commission prior to the commencement of conduct that is otherwise prohibited by section III of this order. Upon the Secretary's acceptance of the bond, (a) the Secretary will serve an acceptance letter on all parties, and (b) Respondent must serve a copy of the bond and any accompanying documentation on Complainants' counsel.<sup>2</sup>

The bond is to be forfeited in the event that the United States Trade Representative approves this order (or does not disapprove it within the review period), unless the U.S. Court of Appeals for the Federal Circuit, in a final judgment, reverses any Commission final determination and order as to Respondent on appeal, or unless Respondent exports or destroys

<sup>&</sup>lt;sup>2</sup> See note 1 above.

the products subject to this bond and provides certification to that effect that is satisfactory to the Commission.

The bond is to be released in the event the United States Trade Representative disapproves this order and no subsequent order is issued by the Commission and approved (or not disapproved) by the United States Trade Representative, upon service on Respondent of an order issued by the Commission based upon application therefore made by Respondent to the Commission.

By order of the Commission.

Lisa R. Barton

Secretary to the Commission

Issued: March 10, 2016

# CERTAIN PERSONAL TRANSPORTERS, COMPONENTS THEREOF, AND MANUALS THEREFOR

#### PUBLIC CERTIFICATE OF SERVICE

I, Lisa R. Barton, hereby certify that the attached **COMMISSION ORDER** has been served by hand upon the Commission Investigative Attorney, John K. Shin, Esq., and the following parties as indicated, on **March 11, 2016**.

Lisa R. Barton, Secretary U.S. International Trade Commission 500 E Street, SW, Room 112 Washington, DC 20436

# On Behalf of Complainants Segway Inc. and DEKA Products Limited Partnership:

David F. Nickel, Esq.  FOSTER, MURPHY, ALTMAN & NICKEL, PC 1899 L. St. NW, Suite 1150 Washington, DC 20036	<ul><li>□ Via Hand Delivery</li><li>□ Via Express Delivery</li><li>⊠ Via First Class Mail</li><li>□ Other:</li></ul>
Respondents:	
Beijing Universal Pioneering Robotics Co., Ltd. Room 302, 3/F TianLi Building No. 56 ZhiChun Road, Haidan District Beijing, PRC 100098	<ul><li>□ Via Hand Delivery</li><li>□ Via Express Delivery</li><li>⊠ Via First Class Mail</li><li>□ Other:</li></ul>
UPTECH Robotics Technology Co., Ltd. Room 302, 3/F TianLi Building No. 56 ZhiChun Road, Haidan District Beijing, PRC 100098	<ul><li>□ Via Hand Delivery</li><li>□ Via Express Delivery</li><li>⊠ Via First Class Mail</li><li>□ Other:</li></ul>
Beijing Universal Pioneering Technology Co., Ltd. 4F Zhong Hang Ke Ji Building ZhiChun Road, Haidan District Beijing, PRC 100098	<ul><li>□ Via Hand Delivery</li><li>□ Via Express Delivery</li><li>⊠ Via First Class Mail</li><li>□ Other:</li></ul>
FreeGo High-Tech Corporation Limited 6/F, Block I, Electronic Info Industrial Park HuangCheng Road, YangMei Bantian, Shenzhen, PRC 518129	<ul><li>□ Via Hand Delivery</li><li>□ Via Express Delivery</li><li>⊠ Via First Class Mail</li><li>□ Other:</li></ul>

# CERTAIN PERSONAL TRANSPORTERS, COMPONENTS THEREOF, AND MANUALS THEREFOR

Inv. No. 337-TA-935

Certificate of Service – Page 2

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EcoBoomer Co., Ltd. 18139 Coastline Drive, Suite 3 Malibu, CA 90265	<ul><li>□ Via Hand Delivery</li><li>□ Via Express Delivery</li><li>⊠ Via First Class Mail</li><li>□ Other:</li></ul>
Roboscooters.com 21541 Crawford Lake Rd. Laurel Hill, NC 28541	<ul><li>□ Via Hand Delivery</li><li>□ Via Express Delivery</li><li>⊠ Via First Class Mail</li><li>□ Other:</li></ul>