



UNWROUGHT AND WROUGHT ALUMINUM QUESTIONNAIRE

DRAFT Interactive Completion Version

United States International Trade Commission
Attention: Aluminum Survey Project Team
Office of Industries, Room 511-G
500 E Street, SW, Washington, DC 20436
Fax: 202-205-2217

The U.S. International Trade Commission (USITC) is conducting a fact-finding investigation on the factors affecting the global competitiveness of the U.S. aluminum industry, focusing on unwrought and wrought aluminum products and related markets. The House Committee on Ways and Means requested this investigation, and has directed the USITC to collect data from market participants. By completing this questionnaire, you will be helping the Committee assess the market conditions confronting the U.S. industry. You can learn more about this investigation (no. 332-557) by clicking on the following button:

Your organization is required by law to respond to this questionnaire.

Please read all instructions and return the completed questionnaire to the USITC no later than XXXX XX, 2016.

We are requesting this information under the authority of section 332(g) of the Tariff Act of 1930 (19 U.S.C. § 1332(g)). Completing the questionnaire is mandatory, and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)). For more information on this questionnaire, contact project team members Karl Tsuji or Mihir Torsekar at aluminumsurvey@usitc.gov. You may also call the team at 202-205-3427 or 202-708-5453.

Confidentiality

The Commission has designated as "confidential business information" the information you provide in response to this questionnaire, to the extent that such information would reveal the operations of your organization and is not otherwise available to the public. The Commission will not disclose such confidential business information except as provided for in section 5 of this questionnaire. Information received in response to this questionnaire will be aggregated with information from other questionnaire responses and will not be published in a manner that would reveal the operations of your organization.

Instructions

1. **Completing the questionnaire.** The best way to answer this questionnaire is to use an interactive version that is available online. To retrieve this version, go to the website shown below. Follow the instructions on this web page and save the questionnaire to your computer. Use this version of the questionnaire if you are completing it interactively.

<https://www.usitc.gov/aluminum>

If you prefer not to use the interactive version, you must contact the project team (see cover for contact information) and request a hard copy of the questionnaire. This is the only way to obtain a hard copy of the questionnaire; if you print out the interactive version, you will not have access to drop-down menus, and critical information will be missing.

2. **Accessing the file.** Open the questionnaire file using Adobe Reader software. If you have an old version of this software, you may be prompted by your computer operating system to upgrade (this is free software). **Contact the project team if you cannot open the file (see cover for contact information).**

Note: Once opened, the file will accept information. It has been designed to make it easy for you to complete the questionnaire electronically, and to minimize the need for the Commission's project team to contact you for clarifications.

3. **Entering information.** Please answer each question that applies to your organization. You can answer questions using the provided checkboxes, drop-down lists, and type-in entry areas. These entry areas are normally highlighted in blue, although this color may vary depending on your computer's settings. Some questions with drop-down lists let you customize the way you enter information (these cases will be indicated in the question text).

You will not be able to change the questionnaire itself or enter information outside the entry areas. Certain type-in entry areas will accept only numbers and not text.

4. **Navigation.** Move to entry areas by clicking on them. You can use the tab key to do this, but only within a page. Use the navigation buttons on the bottom of the page to move directly to a specific section.

5. **Entering numeric data.** Enter data for production, shipments, employment, etc. in full figures, not in thousands, millions, or similar format. See the table below for examples.

How to report numeric figures	
If a production value is \$1,200,500, enter in full figures as:	1200500
If the number of employees is 1,550, enter in full figures as:	1550
Note: After you enter a numeric figure, commas between digits will appear automatically.	

6. **Submitting the questionnaire.** Please keep the file as an Acrobat pdf file and do not convert it to another file format. The preferred method of submission is transmitting your completed questionnaire to our secure drop box at the website shown below. The PIN is **1969**.

<https://dropbox.usitc.gov>

Please see section 6 for all submission options.

General information

1. **Coordinating your organization's response.** If separate persons or departments within your organization will share responsibility for completing this questionnaire, please coordinate your responses so that the information your organization gives us is consistent. This will minimize our need to call you back for clarifications.
2. **Relationship to corporate structure.** Please provide a single response for your organization's activities. This may require your organization to combine information from two or more business units.

If it is not possible to combine responses, or it is unreasonably burdensome, then your organization may provide separate responses for business units, but please ensure that the information is complete and that there is no double-counting. If you have joint venture organizations operating in the United States, please ensure there is no double-counting with other business units of the joint venture partners.
3. **U.S. affiliates of foreign companies.** Please respond as if the affiliate were an independent organization operating in the United States. For example, show production for the affiliate and its subsidiaries only, and not for the foreign corporation.
4. **"You" and "Your."** Parts of the questionnaire refer to "you" and "your." These words refer to the organization that is responding to the questionnaire.
5. **"United States."** This refers to the customs territory of the United States, which includes the 50 states, the District of Columbia, and Puerto Rico.
6. **Year.** All references to years means calendar years. If you normally use fiscal years, please convert to a calendar year basis for the responses in this questionnaire.
7. **Questionnaire structure.** This questionnaire is composed of six sections, as shown below.

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Definitions

1. **Aluminum, unalloyed:** Metal containing by weight at least 99 percent of aluminum, provided that the content by weight of any other element does not exceed 1 percent for iron and silicon combined, 0.1 copper (up to 0.2 percent copper is permitted provided that neither the chromium nor manganese contents exceeds 0.05 percent), and 0.1 percent for all other elements.

2. **Aluminum, alloyed:** Metallic substances in which aluminum predominates by weight over each of the other elements, provided that: (i) the content by weight of at least one of the other elements or of iron plus silicon taken together is greater than the limit specified above; or (ii) the total content by weight of such other elements exceeds 1 percent.

3. **Unwrought aluminum:** Aluminum products in the form of ingots, blocks, billets, slabs and similar manufactured forms, but not rolled, forged, drawn or extruded products, tubular products or cast or sintered forms which have been machined or processed otherwise than by simple trimming, scalping or descaling. These products are typically created from molten aluminum at a **primary** smelter (where the raw material is alumina) or a **secondary** smelter (where the raw material is scrap).

4. **Wrought aluminum:** Rolled, drawn, extruded, or otherwise mechanically formed products of aluminum or aluminum alloys. For the purposes of this questionnaire, forged products are not included. Also, near net shape cast products (e.g. automobile engine block) are not included. Wrought aluminum product groups include:

A. **Plates, sheets, strip, and foil products:** Flat-surfaced products (other than the unwrought products of heading 7601), coiled or not, of solid rectangular (other than square) cross section with or without rounded corners (including "modified rectangles" of which two opposite sides are convex arcs, the other two sides being straight, of equal length and parallel) of a uniform thickness, which are of rectangular (including square) shape with a thickness not exceeding one-tenth of the width, or shapes other than rectangular or square, of any size, provided that they do not assume the character of other products. Includes plates, sheets, strip and foil with patterns (for example, grooves, ribs, checkers, tears, buttons, lozenges) and to such products which have been perforated, corrugated, polished or coated.

B. **Wire products:** Rolled, extruded or drawn products, in coils, which have a uniform solid cross section along their whole length in the shape of circles, ovals, rectangles (including squares), equilateral triangles or regular convex polygons (including "flattened circles" and "modified rectangles", of which two opposite sides are convex arcs, the other two sides being straight, of equal length and parallel). Products with a rectangular (including square), triangular or polygonal cross section may have corners rounded along their whole length. The thickness of such products which have a rectangular (including "modified rectangular") cross section exceeds one-tenth of the width.

C. **Bars and rods:** Rolled, extruded, or drawn products, not in coils, which have a uniform solid cross section along their whole length in the shape of circles, ovals, rectangles (including squares), equilateral triangles or regular convex polygons (including "flattened circles" and "modified rectangles", of which two opposite sides are convex arcs, the other two sides being straight, of equal length and parallel). Products with a rectangular (including square), triangular or polygonal cross section may have corners rounded along their whole length. The thickness of such products which have a rectangular (including "modified rectangular") cross section exceeds one-tenth of the width.

D. **Profiles:** Rolled, extruded, drawn, forged or formed products, coiled or not, of a uniform cross section along their whole length, which do not conform to any of the definitions of bars, rods, wire, plates, sheets, strip, foil, tubes or pipes.

Definitions-Continued

E. Tube and pipe products: Hollow products, coiled or not, which have a uniform cross section with only one enclosed void along their whole length in the shape of circles, ovals, rectangles (including squares), equilateral triangles or regular convex polygons, and which have a uniform wall thickness. Products with a rectangular (including square), equilateral triangular or regular convex polygonal cross section, which may have corners rounded along their whole length, are also to be considered as tubes and pipes provided the inner and outer cross sections are concentric and have the same form and orientation. Tubes and pipes of the foregoing cross sections may be polished, coated, bent, threaded, drilled, waisted, expanded, cone-shaped or fitted with flanges, collars or rings.

Other definitions appear in the sections that follow.

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Section 1: Basic Information

- 1.1 Please enter the 5 digit identification number that was in the notification letter we sent to your organization. This will allow the project team to track your response. If you do not know this number, leave the entry area blank and proceed to the next question.

Identification number

- 1.2 Please list your organization's primary address and a contact person. If you have decided to report information for a subsidiary instead of a single response for your whole organization, then adjust the organization name below to so indicate (for example, Unwrought Aluminum Corp. - Virginia operations).

Organization name

Address

City

State

Zip code

Website address (www.name.domain)

Contact person's name

Contact person's job title

Contact person's telephone number (xxx-xxx-xxxx)

Contact person's email address (xxx@xxx.xxx)

- 1.3 Is the organization named above a subsidiary of an organization operating in the United States?

 Yes

Parent company name:

 No

If this questionnaire has been sent to one or more subsidiaries and/or the related parent company, then there should be one coordinated response. If it is not possible to coordinate responses, or it is unreasonably burdensome, then your organization may provide separate responses for subsidiaries, but please ensure that the information is complete for your entire organization and that there is no double-counting.

- 1.4 Is the organization named above a parent company operating in the United States?

 Yes No

If your organization is a parent company, then this submission should reflect all the activities of the subsidiaries that have U.S. operations. Alternatively, each subsidiary with U.S. operations may provide a separate questionnaire response.

Section 2: Primary unwrought aluminum

- 2.1 Did your organization produce any primary unwrought aluminum (including aluminum alloys) products in facilities **located in the United States** at any time during 2011-2015? Please read the product definitions on page 4 carefully before answering this question.

Yes

No

Note: If you produce and captively consume these products, you should still check "Yes" above. For example, if you produce primary unwrought aluminum billets and make extruded products with these billets, you are considered to be an unwrought producer for the purposes of this questionnaire.

If you answered "Yes" to question 2.1, please go to the next question.

If you answered "No" to question 2.1, please go to section 3.

- 2.2 Please provide the value of any investments your firm made in primary unwrought unalloyed and alloyed aluminum production activities made during 2011-2015 in the United States. Do not include investments, if any, made in your firm's foreign entities. Careful estimates are acceptable. If your firm is an affiliate of a foreign firm, include only capital expenditures that can be attributed directly to your U.S. operations.

#	Item	2011	2012	2013	2014	2015
		Investment (in full figure dollars)				
1	Investment related to primary unwrought aluminum operations					

- 2.3 Comparing 2015 with 2011, have these costs increased or decreased significantly for your U.S. primary unwrought aluminum operations?

#	Cost	Remained the same or change insignificant	Increased	Decreased
1	Alumina	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
2	Other raw material costs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
3	Labor	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
4	Electricity	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
5	Other energy costs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
6	Other: Specify	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Section 3: Secondary unwrought aluminum

3.1 Did your organization produce any secondary unwrought aluminum (including aluminum alloys) products in facilities **located in the United States** at any time during 2011-2015? Please read the product definitions on page 4 carefully before answering this question.

Yes

No

Note: If you produce and captively consume these products, you should still check "Yes" above. For example, if you produce secondary unwrought aluminum billets and make extruded products with these billets, you are considered to be an secondary unwrought producer for the purposes of this questionnaire.

If you answered "Yes" to question 3.1, please go to the next question.

If you answered "No" to question 3.1, please go to section 4.

3.2 Please provide the following information as related to your secondary unwrought aluminum operations in the United States. Careful estimates are acceptable. Do not include data for other operations, such as downstream products (you may need to prorate). If your firm is an affiliate of a foreign firm, include only data that can be directly attributed to your U.S. facilities.

#	Item	2011	2012	2013	2014	2015
1	Production (full figure metric tons)					
2	Production capacity (full figure metric tons)					
3	Number of employees (full figures on an FTE basis) for secondary unwrought aluminum operations					
4	Investment (full figure dollars) related to secondary unwrought aluminum operations					

- 3.3 Please list the quantity and value of your firm's total shipments of secondary unwrought aluminum products to domestic and foreign markets. Include only products **produced by your firm in facilities located in the United States**. Careful estimates are acceptable. If your firm is an affiliate of a foreign firm, include only production that can be directly attributed to your U.S. facilities.

Do not include shipments of products produced by other firms under a tolling or similar agreement. However, if your firm itself produced products under a tolling or similar agreement, then do report these shipments.

#	Item	2011	2012	2013	2014	2015
Shipments of secondary unwrought aluminum products produced at your U.S. operations. Include products that are used by your firm in downstream operations in the United States.						
Unalloyed:						
2	Quantity (full figure metric tons)					
3	Value (full figure dollars)					
Alloyed:						
4	Quantity (full figure metric tons)					
5	Value (full figure dollars)					

- 3.4 Comparing 2015 with 2011, have these costs increased or decreased significantly for your U.S. secondary unwrought aluminum operations?

#	Cost	Remained the same or change insignificant	Increased	Decreased
1	Aluminum/aluminum alloy scrap	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
2	Other raw material costs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
3	Labor	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
4	Energy	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
5	Other: Specify	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

- 3.5 Has your firm's exports of secondary unwrought aluminum products **increased** significantly during 2011-2015? If so, please indicate the most important country (ies) in terms of the change in quantity of such exports below (up to three). If the change is not significant or the question does not apply, leave response areas blank.

First country

Second country

Third country

- 3.6 Has your firm's exports of secondary unwrought aluminum products **decreased** significantly during 2011-2015? If so, please indicate the most important country (ies) in terms of the change in the quantity of such exports below (up to three). If the change is not significant or the question does not apply, leave response areas blank.

First country

Second country

Third country

- 3.7 During 2011-2015, has your firm imported any secondary unwrought aluminum products? If so, please indicate the most important country (ies) in terms of quantity below (up to three). If not, leave the response areas blank.

First country

Second country

Third country

- 3.8 Does your firm have any operations (including joint ventures) in foreign countries that produce secondary unwrought aluminum products? If so, please indicate the most important country (ies) in terms of production below (up to three). If you do not have foreign operations, then leave the response areas blank.

First country

Second country

Third country

- 3.9 During 2011-2015, has your firm invested in foreign secondary unwrought aluminum operations, either in existing or new facilities (including joint ventures)? If so, please indicate the most important country (ies) in terms of actual or potential production quantity below (up to three). If you do not have such investments, then leave the response areas blank.

First country

Second country

Third country

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Section 4: Wrought aluminum

- 4.1 Did your organization produce any of the following wrought aluminum (including aluminum alloys) products in facilities **located in the United States** at any time during 2011-2015? Please read the product definitions on page 4 carefully before answering this question. Check all that apply.

- Plate, sheet, strip, or foil products
- Wire products
- Profiles, bars, or rod products
- Tube or pipe products

Note: If you produce and captively consume these products, you should still check "Yes" above. For example, if you produce aluminum foil and make clad products with this foil, you are considered to be a wrought producer for the purposes of this questionnaire. You would report data for the unclad foil in this section.

If you checked any of the boxes in question 4.1, please go to the next question.

If you answered "No" to question 4.1, please go to section 5.

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- 4.2 Please list the production capacity and production (in metric tons) of your firm's wrought, unalloyed and alloyed aluminum products **in facilities located in the United States**. Careful estimates are acceptable. If your firm is an affiliate of a foreign firm, include only capacity and production that can be directly attributed to your U.S. facilities.

Do not include capacity or production of other firms that may be producing products for your firm under a tolling or similar agreement.

#	Product group	2011	2012	2013	2014	2015
Metric tons (full figures)						
Production capacity at U.S. facilities for wrought aluminum products (including unalloyed and alloyed products)						
1	Plates, sheets, strip, or foil products					
2	Wire products					
3	Profile/bar/rod products:					
4	Tube or pipe products:					
Production at U.S. facilities for wrought aluminum products (including unalloyed and alloyed products)						
1	Plates, sheets, strip, or foil products					
2	Wire products					
3	Profile/bar/rod products:					
4	Tube or pipe products:					

- 4.3 Please list the quantity and value of your firm's total shipments of wrought, unalloyed aluminum products to domestic and foreign markets broken down by the product groups shown below. Include only products **produced by your firm in facilities located in the United States**. Careful estimates are acceptable. If your firm is an affiliate of a foreign firm, include only production that can be directly attributed to your U.S. facilities.

Do not include shipments of products produced by other firms under a tolling or similar agreement. However, if your firm itself produced products under a tolling or similar agreement, then do report these shipments.

#	Product group	2011	2012	2013	2014	2015
Shipments from U.S. facilities of wrought unalloyed aluminum products						
Plates, sheets, strip, or foil products:						
1	Quantity (full figure metric tons)					
2	Value (full figure dollars)					
Wire products						
3	Quantity (full figure metric tons)					
4	Value (full figure dollars)					
Profile/bar/rod products:						
5	Quantity (full figure metric tons)					
6	Value (full figure dollars)					
Tube or pipe products:						
7	Quantity (full figure metric tons)					
8	Value (full figure dollars)					

- 4.4 Please list the quantity and value of your firm's total shipments of wrought, alloyed aluminum products to domestic and foreign markets broken down by the product groups shown below. Include only products **produced by your firm in facilities located in the United States**. Careful estimates are acceptable. If your firm is an affiliate of a foreign firm, include only production that can be directly attributed to your U.S. facilities.

Do not include shipments of products produced by other firms under a tolling or similar agreement. However, if your firm itself produced products under a tolling or similar agreement, then do report these shipments.

#	Product group	2011	2012	2013	2014	2015
Shipments from U.S. facilities of wrought alloyed aluminum products						
Plates, sheets, strip, or foil products:						
2	Quantity (full figure metric tons)					
3	Value (full figure dollars)					
Wire products						
4	Quantity (full figure metric tons)					
5	Value (full figure dollars)					
Profile/bar/rod products:						
6	Quantity (full figure metric tons)					
7	Value (full figure dollars)					
Tube or pipe products:						
8	Quantity (full figure metric tons)					
9	Value (full figure dollars)					

- 4.5 Please indicate which of the following describes the changes in your firm's U.S. production capacity for wrought, unalloyed and alloyed products during 2011-2015. Check all that apply.

- No change
- Expanded production capacity at existing establishments
- Reduced production capacity at existing establishments
- Acquired production establishments
- Sold or otherwise disposed of production establishments

- 4.6 Please list the number of your firm's employees on a full-time equivalent (FTE) basis that correspond to your U.S. facilities that produce wrought unalloyed and alloyed aluminum products. Careful estimates are acceptable. If your firm is an affiliate of a foreign firm, include only employees who can be directly attributed to your firm's U.S. activities.

#	Product group	2011	2012	2013	2014	2015
Employment at U.S. facilities for wrought aluminum products (including unalloyed and alloyed products)						
		Number of employees (full figures on an FTE basis)				
1	Plates, sheets, strip, or foil products					
2	Wire products					
3	Profile/bar/rod products:					
4	Tube or pipe products:					
5	Employees that cannot be attributed by product group (only use this category if absolutely necessary)					

- 4.7 Please provide the value of any investments your firm made in wrought unalloyed and alloyed aluminum production activities made during 2011-2015 in the United States for the product groups shown below. Do not include investments, if any, made in your firm's foreign entities. Careful estimates are acceptable. If your firm is an affiliate of a foreign firm, include only capital expenditures that can be attributed directly to your U.S. operations.

#	Product group	2011	2012	2013	2014	2015
Investment at U.S. facilities producing wrought aluminum products (including unalloyed and alloyed products)						
		Investment (in full figure dollars)				
1	Plates, sheets, strip, or foil products					
2	Wire products					
3	Profile/bar/rod products:					
4	Tube or pipe products:					
5	Investment that cannot be attributed by product group (only use this category if absolutely necessary)					

- 4.8 Please list your customers types, by percentage of your firm's 2011 and 2015 shipments reported in question 4.3 and 4.4.

#	Customer type	2011	2015
		Percent of shipments	
1	Unrelated wrought producers in the United States		
2	Downstream producers in the United States owned by your firm		
3	Unrelated downstream producers in the United States		
4	Distributors		
5	Other or unknown		

- 4.9 Please list the ultimate end use markets, by percentage of your firm's 2011 and 2015 shipments reported in question 4.3 and 4.4.

#	Ultimate end use market	2011	2015
		Percent of shipments	
1	Containers and packaging		
2	Building and construction		
3	Transportation, automotive sector		
4	Transportation, except automotive sector		
5	Electrical		
6	Consumer durables		
7	Machinery and equipment		
8	Other or unknown		

- 4.10 Comparing 2015 with 2011, have these costs increased or decreased significantly for your U.S. wrought aluminum operations?

#	Cost	Remained the same or change insignificant	Increased	Decreased
1	Aluminum/aluminum alloy scrap	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
2	Other raw material costs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
3	Labor	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
4	Energy	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
5	Other: Specify	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

- 4.11 Has your firm's exports of wrought aluminum products **increased** significantly during 2011-2015? If so, please indicate the most important country (ies) in terms of the change in quantity of such exports below (up to three). If the change is not significant or the question does not apply, leave the response areas blank.

First country

Second country

Third country

- 4.12 Has your firm's exports of wrought aluminum products **decreased** significantly during 2011-2015? If so, please indicate the most important country (ies) in terms of the change in quantity of such exports below (up to three). If the change is not significant or the question does not apply, leave the response areas blank.

First country

Second country

Third country

- 4.13 During 2011-2015, has your firm imported any significant amount of wrought aluminum products? If so, please indicate the most important country (ies) in terms of quantity below (up to three). If not, leave the response areas blank.

First country

Second country

Third country

- 4.14 Does your firm have any operations (including joint ventures) in foreign countries that produce wrought aluminum products? If so, please indicate the most important country (ies) in terms of production below (up to three). If you do not have such investments, then leave the response areas blank.

First country

Second country

Third country

- 4.15 During 2011-2015, has your firm invested in foreign wrought aluminum operations, either in existing or new facilities (including joint ventures)? If so, please indicate the most important country(ies) in terms of actual or potential production quantity below (up to three). If you do not have such investments, then leave the response areas blank.

First country

Second country

Third country

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Section 5. Certification

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this investigation or other proceeding may be disclosed to and used:

- (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or
- (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

The information your organization provides in response to this questionnaire will be treated by the Commission as confidential and will not be disclosed to the public unless required by law. The information will be aggregated with information from other questionnaire responses and will not be published in a manner that would reveal the operations of your organization. The House Committee on Ways and Means has asked that the Commission not include any confidential business information in the report it transmits to them.

Certifier's name and title

Date of certification (m/d/y)

Certifier's signature (not necessary if submitting electronically)

If submitting an electronic version of this certificate to the Commission, check the box below in place of a written signature to indicate that the authorized official listed has certified the information provided.

 Certified

Section 6. Submitting the Questionnaire

- 6.1 Before submitting your organization's completed questionnaire, please report the actual number of hours required and the cost to your organization of completing this questionnaire, including all preparatory activities.

Hours

Cost (\$)

- 6.2 If your organization would like to further explain any of the responses in your submitted questionnaire, please provide comments to us by email at aluminiumsurvey@usitc.gov.

- 6.3 If your organization would like to give us a written submission for the public record, click on the link below to view the *Federal Register* notice about this investigation and go to page 2 of this notice for instructions. All written submissions are due by April 11, 2014.

[Federal Register notice](#)

- 6.4 For a final quality check, please click on the button below after you have completed the questionnaire. You may get one or more error messages indicating which questions have possible inconsistencies. After completing the quality check, please return to the question(s) shown in the error message(s) and make corrections, then perform this quality check again. If you believe the responses are correct despite an error message, ignore the error message.

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- 6.5 Please save the questionnaire.

NOT FOR

- 6.6 Please use one of the submission options shown below.



COMPLETION

Use this option to transmit your completed questionnaire through our secure electronic drop box. Click on the link and follow the instructions. In the PIN entry box, type: **1969**



Use this option to send your completed questionnaire as an email attachment. Click on the button and follow the instructions. Note that submitting your questionnaire response by email will subject your organization's confidential business information (CBI) to transmission over an unsecured environment and to possible disclosure to third parties. Any risk of disclosure of CBI during transmission is assumed by your organization and not us. However, once we receive the email, we will store your questionnaire response in our secured environment and safeguard it as detailed in the certification in section 7.



Use this option if you prefer to send your completed questionnaire in hard copy (paper) form. Click on the button and your print screen will appear. After printing, mail or fax the completed questionnaire to us (see address and fax number on page 1). We don't recommend sending the questionnaire by regular U.S. mail because this type of mail undergoes extra processing to screen for hazardous material that will likely delay the delivery. Instead, we recommend overnight mail service.