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Frequently Asked Questions: General

What kind of information can I find by searching in 337Info?

The 337Info database contains data relating to Section 337 investigations\(^1\) instituted, or otherwise initiated, on or after October 1, 2008\(^2\). The record for each investigation in 337Info contains information such as participants, unfair acts alleged, dispositions, scheduling dates, etc.

How is 337Info different from EDIS?

EDIS is a document information system. It houses the official record for investigations at the Commission. When you search in EDIS, you are searching for documents. 337Info organizes information at the investigation level and stores specific data points related to the investigation. Therefore, any search you perform will return a list of investigations that meet your search criteria. You can then select a specific investigation to view data, including information regarding the procedural history of the investigation.

What does Docket Number, Complainant, Unfair Act Alleged, etc. mean?

Please refer to the Data Dictionary (linked on the 337Info homepage) for definitions of all terms used in 337Info.

What if I want to see information pertaining to investigations instituted prior to October 1, 2008?

Please click the link on the upper right-hand side of the 337Info homepage, shown below.

\(^1\) Section 337 investigations conducted by the U.S. International Trade Commission ("Commission") most often involve claims regarding intellectual property rights, including allegations of patent infringement and trademark infringement by imported goods. Other forms of unfair competition involving imported products may also be asserted, such as infringement of registered copyrights, mask works, or boat hull designs; misappropriation of trade secrets or trade dress’ passing off; false advertising and other unfair acts or methods of competition.

\(^2\) To accommodate investigations remanded to the Commission after October 1, 2008, certain investigations instituted, or otherwise initiated, prior to October 1, 2008 are in 337Info. Please reference violation investigation 337-TA-613 as an example.
Clicking this link will take you to a static page that contains information related to investigations instituted, or otherwise initiated, prior to 2008.

**How, and how often, is 337Info data updated?**

337Info is updated and maintained by Commission staff. The majority of data fields in 337Info are derived from documents filed in EDIS that comprise the official record for investigations at the Commission. Generally, 337Info should be updated within three (3) business days of a Commission action.

**How do I use the Boolean operators (AND, OR, NOT, etc.) on the Advanced Search page to build a query?**

Please refer to guidance under the Help tab on the 337Info homepage (http://pubapps2.usitc.gov/337external/help) for assistance regarding complex queries.
I’m getting an error message. I don’t believe the information for this investigation is correct. I have no idea what I’m looking at or searching for. Who do I contact?

Please send an e-mail to 337InfoHelp@usitc.gov explaining the problem you are experiencing and providing your contact information. Commission staff best suited to answer your question or address your concern will respond within two (2) business days.

Frequently Asked Questions: Search

How do I find complaints recently filed with the Commission?

The 337Info homepage lists information pertaining to the five complaints most recently filed with the Commission. Clicking on an investigation’s Title will take you to the Summary Investigation Information page that contains additional information about the complaint.

Among other information, this page lists proposed complainant(s) and respondent(s); the Active Date noted is the filing date of the Complaint (or Amended Complaint, if added in a later pre-institution filing).
If you wish to see the complaint document for a listed proceeding, please go to http://edis.usitc.gov, the Official Record for investigative proceedings before the Commission.

**How do I find complaints currently pending institution at the Commission?**

From the 337Info homepage, type an asterisk (*) in the Quick Search dialogue box and click Search.

This will result in a list of all proceedings currently in 337Info, in reverse chronological order, by investigation number. Filter By options are offered on the left-hand side of the results page. To see cases pending institution, check the box labeled Pre-Institution under the heading Investigation Status.
The results list will filter automatically to show you only those cases currently marked with a Pre-Institution investigation status. Clicking on an investigation’s Title will take you to the Summary Investigation Information page for the complaint. If you wish to see the Official Record for a Section 337 complaint, please go to http://edis.usitc.gov.

How do I find investigations involving a certain company, corporation, etc.?

Let’s say you want to know which investigations involve the fictional corporation, Widget, Inc. You may execute this search via the Quick Search dialogue box on the 337Info homepage or via the Advanced Search functionality.

The Quick Search dialogue box searches all 337Info fields for the criteria entered. If you type “widget” in the Quick Search dialogue box, your search will potentially return a list of
investigations in which Widget, Inc. is involved but also those investigations in which the word “widget” appears in any field, such as the Investigation Title. Therefore, you may wish to use the Advanced Search function to better direct your search results. The Advanced Search function will allow you to search the complainant field and/or the respondent field for the word “widget.”

In addition, let’s say you want to fine tune your search to show only cases in which Widget, Inc. is a complainant and in which Commodity Corp. is not a respondent. The Advanced Search function’s Boolean operators allow you to construct such a search query.

Please refer to guidance under the Help tab on the 337Info homepage (http://pubapps2.usitc.gov/337external/help) for assistance regarding complex queries.
How do I find investigations involving a certain type of product?

337Info organizes the types of products at issue in investigations by a field titled Product Basket. This data field categorizes the types of products at issue into groups generally associated with the Harmonized Tariff Schedule of the United States (http://www.usitc.gov/tata/hts/index.htm). The most efficient way to search on this information is by using the Advanced Search function.

Select the Product Basket field and type in one of the category names listed below. Please note that the names must be typed in exactly as listed below in order to return any search results.

<table>
<thead>
<tr>
<th>Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Automotive/Manufacturing/Transportation</td>
</tr>
<tr>
<td>Chemical compositions</td>
</tr>
<tr>
<td>Computer and telecommunications products</td>
</tr>
<tr>
<td>Integrated circuits</td>
</tr>
<tr>
<td>LCD/TV</td>
</tr>
<tr>
<td>Lighting products</td>
</tr>
<tr>
<td>Memory products</td>
</tr>
<tr>
<td>Pharmaceuticals and medical devices</td>
</tr>
<tr>
<td>Printing products</td>
</tr>
<tr>
<td>Small consumer items</td>
</tr>
<tr>
<td>Other</td>
</tr>
</tbody>
</table>
How do I find investigations involving a certain patent, copyright, or trademark number?

This is most efficiently done using the Quick Search dialog box on the 337Info homepage. Be sure to type in the patent, trademark, or copyright number exactly as it should be formatted in the database, referencing the table below.

<table>
<thead>
<tr>
<th>Registered Intellectual Property Right</th>
<th>Database Format</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patent</td>
<td></td>
</tr>
<tr>
<td>Utility</td>
<td>#,###,####</td>
</tr>
<tr>
<td>Design</td>
<td>D####,####</td>
</tr>
<tr>
<td>Reissue</td>
<td>RE##,####</td>
</tr>
<tr>
<td>Trademark</td>
<td>#,####,####</td>
</tr>
<tr>
<td>Copyright</td>
<td></td>
</tr>
<tr>
<td>Literary</td>
<td>TX################ (use leading zeroes to accumulate ten digits after two-letter prefix)</td>
</tr>
<tr>
<td>Visual Arts</td>
<td>VA################ (use leading zeroes to accumulate ten digits after two-letter prefix)</td>
</tr>
<tr>
<td>Sound Recordings</td>
<td>SR################ (use leading zeroes to accumulate ten digits after two-letter prefix)</td>
</tr>
<tr>
<td>Performing Arts</td>
<td>PA################ (use leading zeroes to accumulate ten digits after two-letter prefix)</td>
</tr>
<tr>
<td>Single Serials</td>
<td>SE################ (use leading zeroes to accumulate ten digits after two-letter prefix)</td>
</tr>
<tr>
<td>Unpublished Copyright</td>
<td>Use prefix as above + U then follow with nine digits, using leading zeroes as necessary. For example, VAU000000001 or SRU000123456</td>
</tr>
</tbody>
</table>

How do I find investigations involving trade secrets?

Use 337Info’s Advanced Search function to search on Unfair Act Alleged. When you select the Unfair Act Alleged field on the Advanced Search page, a drop-down menu of choices will appear. To search for investigations involving trade secret allegation(s), select Trade Secret from the drop-down and click Search.
How do I find investigations involving a certain country?

Let’s say you want to know which investigations involve Canada. You may execute this search via the Quick Search dialogue box on the 337Info homepage or via the Advanced Search functionality.

The Quick Search dialogue box searches all 337Info fields for the criteria entered. If you type “Canada” in the Quick Search dialogue box, your search will potentially return a list of investigations in which Canada is involved but also those investigations in which the word “Canada” appears in the Complainant/Respondent name fields, for example. Therefore, you may wish to use the Advanced Search function to better direct your search results. The

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3 The country listed in the database reflects the business address of a party proposed in the complaint or named in the investigation.
Advanced Search function will allow you to search the Complainant and/or Respondent Country of Origin field for “Canada.” The Advanced Search function’s Boolean operators allow you to construct a query that searches for investigations involving Canadian complainants, Canadian respondents, or both Canadian complainants and respondents.

Please refer to guidance under the Help tab on the 337Info homepage (http://pubapps2.usitc.gov/337external/help) for assistance regarding complex queries.

**How do I find investigations before a certain administrative law judge (ALJ)?**

This is most efficiently done using the Targeted Quick Search field options on the 337Info homepage. Click the icon labeled ALJ. The ALJ Assigned field will appear in the Quick Search dialogue box. Type any portion of the last name of the ALJ upon which you wish to search and click Search.

Your search results will return a list of investigations instituted on, or after, October 1, 2008 over which the given ALJ *presided at any time*. You may further refine your results to see only those cases currently before an ALJ by using the Filter By options on the left-hand side of the screen. Under Investigation Status, click Pending before ALJ. Your filtered results will show only those cases currently before an ALJ. The ALJ currently presiding over the investigation is listed in the second column from the right on the search results page.
How do I find investigations with upcoming Section 337 evidentiary hearings?

From the Advanced Search page, select Evidentiary Hearing Scheduled Start under the Investigation Dates heading. A calendar selector tool will appear that will allow you to choose a range of dates. For instance, if you would like to see hearings currently scheduled for August 2014, input a range of 2014-08-01 through 2014-08-31 and click Search.
The results will provide a list of investigations with hearings scheduled to begin in August 2014. Note that some of the investigations listed in your results may have terminated, thereby making irrelevant the scheduled hearing date. To see only those investigations still active and with a pending hearing in the given timeframe, use the Filter By option on the left-hand side of the screen to select only those cases with an Investigation Status of Pending before ALJ or Pending before Commission.
How do I find investigations with upcoming target dates?

There is a filter option on the 337Info homepage that will provide a list of investigations with target dates pending in the next 7, 30, 90, or 180 days, depending upon the option selected.

The resulting list may include investigations that have terminated at the Commission. To see only investigations currently active at the Commission with a target date within the timeframe selected, use the Filter By options on the left-hand side of the page to select investigations with an Investigation Status of Pending before ALJ and Pending before Commission.

How do I find investigations that have recently terminated at the Commission?

There is a filter option on the 337Info homepage that will provide a list of investigations that have terminated at the Commission in the past 7, 30, 90, or 180 days, depending upon the option selected.
How do I find investigations currently on appeal?

From the 337Info homepage, type an asterisk (*) in the Quick Search dialogue box and click Search. This will result in a list of all proceedings currently in 337Info, in reverse chronological order, by investigation number. Filter By options are offered on the left-hand side of the results page. To see cases currently on appeal, check the box labeled Appeal Pending under the heading Investigation Status.
The results list will filter automatically to show only those cases currently marked with an investigation status of Appeal Pending. Clicking on the Title will take you to the Summary Investigation Information page for the underlying investigation. To see information pertinent to the appeal(s) currently pending for the given investigation, scroll down on this page to the header titled Associated Litigation.
How do I find investigations in which a violation of Section 337 was found?

Dispositions are stored in 337Info at the respondent level. The most efficient way to retrieve a list of investigations in which at least one respondent was found to be in violation of Section 337 is to use the Advanced Search functionality. On the Advanced Search page, select Disposition by Respondent. Once selected, a drop-down menu will appear. Select Violation Found and click search.
The results page will list investigations in which at least one respondent was found to be in violation of Section 337. If you wish to see investigations in which only a violation was found, you can utilize the AND and NOT Boolean operators to construct a query to search for investigations with Violation Found as the only disposition. Please refer to guidance under the Help tab on the 337Info homepage (http://pubapps2.usitc.gov/337external/help) for assistance regarding complex queries.

How do I find investigations in which a general exclusion order issued and is currently active?

Remedial orders and their corresponding status are stored in 337Info at the respondent level. The most efficient way to retrieve a list of investigations in which a general exclusion order has issued and is currently active is by using the Advanced Search functionality. On the Advanced Search page, select Remedial Orders Issued. Once selected, a drop-down menu will appear with the available remedial order choices. Select General Exclusion Order (GEO). In order to see GEOS currently active, you can further tailor your query to search for only investigations in which the Status of Remedial Order field is set to Active or Modified. Utilize the AND, OR and ( ) Boolean operators to construct such a query. The query that would achieve the search results desired would read as follows:
Type of Remedial Order: General Exclusion Order AND (Status of Remedial Order: Active OR Status of Remedial Order: Modified)

Please refer to guidance under the Help tab on the 337Info homepage (http://pubapps2.usitc.gov/337external/help) for assistance regarding how to construct complex queries.