

# 337INFO

*Frequently  
Asked  
Questions*

September, 2014

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## **Frequently Asked Questions: General**

### **What kind of information can I find by searching in 337Info?**

The 337Info database contains data relating to Section 337 investigations<sup>1</sup> instituted, or otherwise initiated, on or after October 1, 2008<sup>2</sup>. The record for each investigation in 337Info contains information such as participants, unfair acts alleged, dispositions, scheduling dates, etc.

### **How is 337Info different from EDIS?**

EDIS is a document information system. It houses the official record for investigations at the Commission. When you search in EDIS, you are searching for documents. 337Info organizes information at the investigation level and stores specific data points related to the investigation. Therefore, any search you perform will return a list of investigations that meet your search criteria. You can then select a specific investigation to view data, including information regarding the procedural history of the investigation.

### **What does Docket Number, Complainant, Unfair Act Alleged, etc. mean?**

Please refer to the Data Dictionary (linked on the 337Info homepage) for definitions of all terms used in 337Info.

### **What if I want to see information pertaining to investigations instituted prior to October 1, 2008?**

Please click the link on the upper right-hand side of the 337Info homepage, shown below.

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<sup>1</sup> Section 337 investigations conducted by the U.S. International Trade Commission (“Commission”) most often involve claims regarding intellectual property rights, including allegations of patent infringement and trademark infringement by imported goods. Other forms of unfair competition involving imported products may also be asserted, such as infringement of registered copyrights, mask works, or boat hull designs; misappropriation of trade secrets or trade dress’ passing off; false advertising and other unfair acts or methods of competition.

<sup>2</sup> To accommodate investigations remanded to the Commission after October 1, 2008, certain investigations instituted, or otherwise initiated, prior to October 1, 2008 are in 337Info. Please reference violation investigation 337-TA-613 as an example.



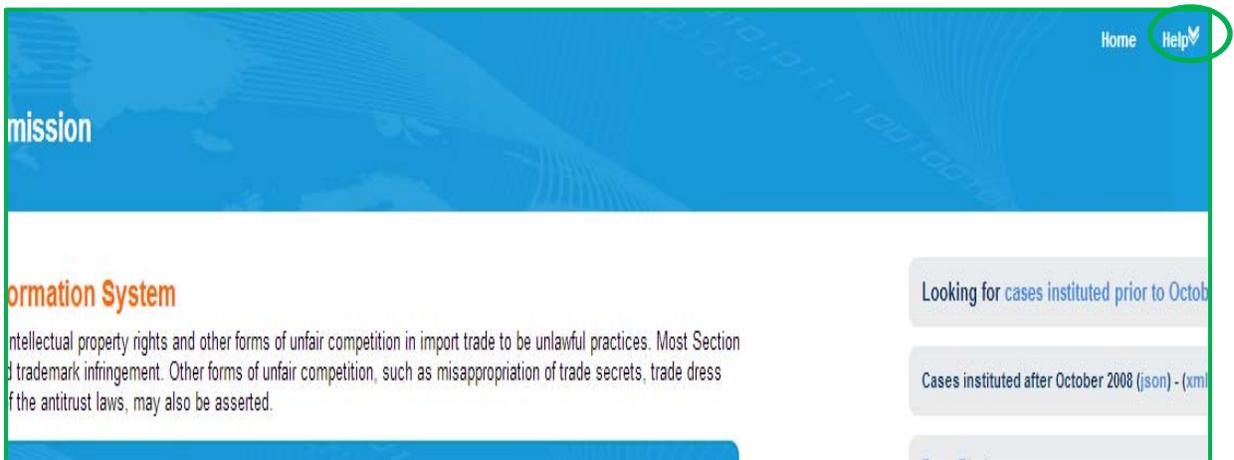
Clicking this link will take you to a static page that contains information related to investigations instituted, or otherwise initiated, prior to 2008.

### How, and how often, is 337Info data updated?

337Info is updated and maintained by Commission staff. The majority of data fields in 337Info are derived from documents filed in EDIS that comprise the official record for investigations at the Commission. Generally, 337Info should be updated within three (3) business days of a Commission action.

### How do I use the Boolean operators (AND, OR, NOT, etc.) on the Advanced Search page to build a query?

Please refer to guidance under the Help tab on the 337Info homepage (<http://pubapps2.usitc.gov/337external/help>) for assistance regarding complex queries.



**I'm getting an error message. I don't believe the information for this investigation is correct. I have no idea what I'm looking at or searching for. Who do I contact?**

Please send an e-mail to [337InfoHelp@usitc.gov](mailto:337InfoHelp@usitc.gov) explaining the problem you are experiencing and providing your contact information. Commission staff best suited to answer your question or address your concern will respond within two (2) business days.

## Frequently Asked Questions: Search

### How do I find complaints recently filed with the Commission?

The 337Info homepage lists information pertaining to the five complaints most recently filed with the Commission. Clicking on an investigation's Title will take you to the Summary Investigation Information page that contains additional information about the complaint.

The screenshot shows the 337Info website. At the top, there is a search bar with a blue background and icons for search, document, and refresh. Below the search bar, there are filters for 'ALJ', 'Inv No.', and 'Instituted', along with 'AND OR' and 'Reset' options. A search button and an 'Advanced Search' button are also present. Below the search bar, there is a 'Recent Complaints' link circled in green. A green arrow points from a text box that says 'Click the Title to advance to the Summary page' to the title of a complaint: 'Noise Cancelling Headphones and Components Thereof'. Below the title, there is a list of details for this complaint: Docket Number: 3024, Investigation Number: 3024, Complainant(s): Bose Corp., Respondent(s): Beats Electronics International Ltd., Beats Electronics, LLC, Fugang Electronic (Dong Guan) Co., Ltd., PCH International Ltd., Unfair Act Alleged: Patent Infringement, Date Complaint Filed: 07/25/2014, Date of Institution: [blank]. Below this, there is another complaint title: 'Marine Sonar Imaging Systems, Products Containing the Same, and Components Thereof'. To the right of the search bar, there are several filters: 'Cases instituted after October 2008 (json) - (xml)', 'Data Dictionary', 'Recently Instituted Investigations' (with sub-filters for Last 7 days (0), Last 30 days (2), Last 90 days (7), Last 180 days (16)), 'Recently Terminated Investigations' (with sub-filters for Last 7 days (0), Last 30 days (0), Last 90 days (4), Last 180 days (24)), and 'Pending Target Date' (with sub-filters for Next 7 days (2), Next 30 days (3), Next 90 days (9), Next 180 days (22)).

Among other information, this page lists *proposed* complainant(s) and respondent(s); the Active Date noted is the filing date of the Complaint (or Amended Complaint, if added in a later pre-institution filing).

**Summary Investigation Information**

Title (In the Matter of Certain): **Noise Cancelling Headphones and Components Thereof**

Investigation Number: 337-74-3024  
Investigation Type: Violation  
Docket Number: 3024  
Investigation Status: Pre-Institution

**Participant Information**

**Complainant Information**

Complainant(s)/Respondent(s) listed are based on what is proposed in the Complaint

**Respondent Information**

**Agency Participant Information**

Office of Unfair Import Investigations (OUII)

Survey Type: / Level of Participation:

Administrative Law Judge (ALJ)

**Associated Litigation**

CAFC Appeals

**Procedural History**

Complaint Filed: 07/25/2014

Date of Institution

Markman Hearing Start/End

Evidentiary Hearing Scheduled Start/End/Actual Start/Actual End

Target Date Order Issued

Target Date

Final ID On Violation Due Date/Issue Date

Non Final (Terminating) ID Issued

Final Determination of No Violation

Final Determination of Violation

Termination Date

Expiration of Presidential Review

**Unfair Act Alleged**

Type: Active - Inactive

Patent Infringement: 07/25/2014 -

**Patent Number(s)**

Number	Expiration	Active - Inactive
6,717,537		07/25/2014 -

Most information on this page will be blank when a case is in pre-institution status

If you wish to see the complaint document for a listed proceeding, please go to <http://edis.usitc.gov>, the Official Record for investigative proceedings before the Commission.

### How do I find complaints currently pending institution at the Commission?

From the 337Info homepage, type an asterisk (\*) in the Quick Search dialogue box and click Search.

Section 337 declares the infringement of certain statutory intellectual property rights and other forms of unfair competition in import trade to be unlawful practices. Most Section 337 investigations involve allegations of patent or registered trademark infringement. Other forms of unfair competition, such as misappropriation of trade secrets, trade dress infringement, passing off, false advertising, and violations of the antitrust laws, may also be asserted.




 AND OR Reset

This will result in a list of all proceedings currently in 337Info, in reverse chronological order, by investigation number. Filter By options are offered on the left-hand side of the results page. To see cases pending institution, check the box labeled Pre-Institution under the heading Investigation Status.

**Filter Results By...** **Results found 12 for Criteria: ""** You are searching the 337 Investigation Database  
Hover to View Snapshot

**Investigation Type:**

Clear Filter

- Violation (283)
- Advisory (7)
- Modification (6)
- Enforcement (15)
- Bond Forfeiture (8)
- Bond Return (8)

**Investigation Status:**

Clear Filter

- Pre-Institution (12)
- Pending before ALJ (36)

Title (In the Matter of Certain):	Inv. No. ▲	Inv.Type	ALJ Assigned	Date of Institution
Light Reflectors and Components, Packaging, and Related Advertising Thereof	3019	Violation		
Devices Containing Non-Volatile Memory and Products Containing the Same	3020	Violation		
Loom Kits for Creating Linked Articles	3021	Violation		
Communications or Computing Devices, and Components Thereof	3022	Violation		
Marine Sonar Imaging Systems, Products Containing the Same, and Components Thereof	3023	Violation		
Noise Cancelling Headphones and Components Thereof	3024	Violation		

The results list will filter automatically to show you only those cases currently marked with a Pre-Institution investigation status. Clicking on an investigation’s Title will take you to the Summary Investigation Information page for the complaint. If you wish to see the Official Record for a Section 337 complaint, please go to <http://edis.usitc.gov>.

### How do I find investigations involving a certain company, corporation, etc.?

Let’s say you want to know which investigations involve the fictional corporation, Widget, Inc. You may execute this search via the Quick Search dialogue box on the 337Info homepage or via the Advanced Search functionality.

Section 337 declares the infringement of certain statutory intellectual property rights and other forms of unfair competition in import trade to be unlawful practices. Most Section 337 investigations involve allegations of patent or registered trademark infringement. Other forms of unfair competition, such as misappropriation of trade secrets, trade dress infringement, passing off, false advertising, and violations of the antitrust laws, may also be asserted.

  
ALJ

  
Inv No.

  
Instituted

AND  
OR  
Reset

Use Quick Search or Advanced Search

The Quick Search dialogue box searches all 337Info fields for the criteria entered. If you type “widget” in the Quick Search dialogue box, your search will potentially return a list of

investigations in which Widget, Inc. is involved but also those investigations in which the word “widget” appears in any field, such as the Investigation Title. Therefore, you may wish to use the Advanced Search function to better direct your search results. The Advanced Search function will allow you to search the complainant field and/or the respondent field for the word “widget.”

**Advanced Search - Query Builder:**

Your Query:\*

Complainant: widget
  OR
  Respondent: widget

[Query Builder Guide](#)

\*Searches performed with no explicit operator will default to "OR".

Fields to query on:

Investigation	Investigation Dates	Complainant	Respondent	Intellectual Property	Operators
All Fields	Motion/Complaint Filed	Complainant	Respondent	HTS Number	AND
Title	Date of Institution	Lead Counsel	Lead Counsel	Patent Number	OR
Investigation No.	Target Date Order Issued	Country of Origin	Country of Origin	Trademark Number	NOT

In addition, let’s say you want to fine tune your search to show only cases in which Widget, Inc. is a complainant *and* in which Commodity Corp. is *not* a respondent. The Advanced Search function’s Boolean operators allow you to construct such a search query.

**Advanced Search - Query Builder:**

Your Query:\*

Complainant: widget
  AND
  NOT
  Respondent: commodity corp.

[Query Builder Guide](#)

\*Searches performed with no explicit operator will default to "OR".

Fields to query on:

Investigation	Investigation Dates	Complainant	Respondent	Intellectual Property	Operators
All Fields	Motion/Complaint Filed	Complainant	Respondent	HTS Number	AND
Title	Date of Institution	Lead Counsel	Lead Counsel	Patent Number	OR
Investigation No.	Target Date Order Issued	Country of Origin	Country of Origin	Trademark Number	NOT

Please refer to guidance under the Help tab on the 337Info homepage (<http://pubapps2.usitc.gov/337external/help>) for assistance regarding complex queries.

## How do I find investigations involving a certain type of product?

337Info organizes the types of products at issue in investigations by a field titled Product Basket. This data field categorizes the types of products at issue into groups generally associated with the Harmonized Tariff Schedule of the United States (<http://www.usitc.gov/tata/hts/index.htm>). The most efficient way to search on this information is by using the Advanced Search function.

Advanced Search - Query Builder:

Your Query:\*

**Product Basket:**

[Query Builder Guide](#)

\*Searches performed with no explicit operator will default to "OR".

Select the Product Basket field and type in one of the category names listed below. Please note that the names must be typed in exactly as listed below in order to return any search results.

Automotive/Manufacturing/Transportation
Chemical compositions
Computer and telecommunications products
Integrated circuits
LCD/TV
Lighting products
Memory products
Pharmaceuticals and medical devices
Printing products
Small consumer items
Other

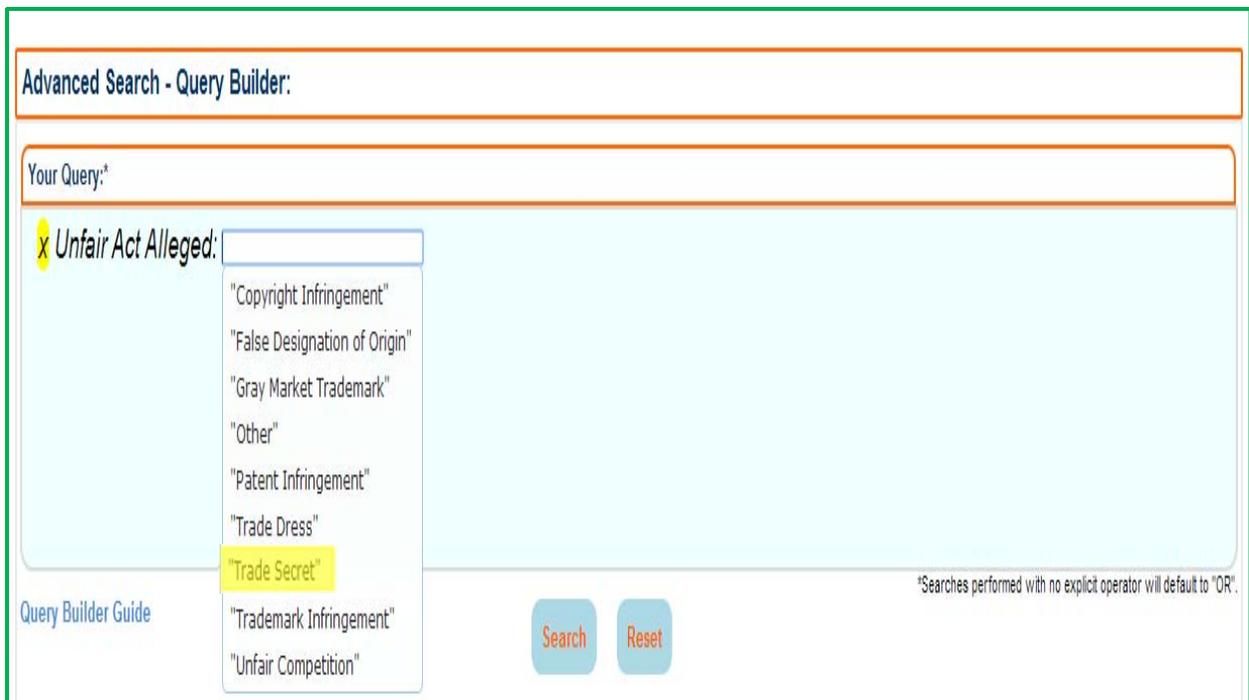
## How do I find investigations involving a certain patent, copyright, or trademark number?

This is most efficiently done using the Quick Search dialog box on the 337Info homepage. Be sure to type in the patent, trademark, or copyright number exactly as it should be formatted in the database, referencing the table below.

Registered Intellectual Property Right	Database Format
<b>Patent</b>	
Utility	#,###,###
Design	D###,###
Reissue	RE##,###
<b>Trademark</b>	#,###,###
<b>Copyright</b>	
Literary	TX##### (use leading zeroes to accumulate ten digits after two-letter prefix)
Visual Arts	VA##### (use leading zeroes to accumulate ten digits after two-letter prefix)
Sound Recordings	SR##### (use leading zeroes to accumulate ten digits after two-letter prefix)
Performing Arts	PA##### (use leading zeroes to accumulate ten digits after two-letter prefix)
Single Serials	SE##### (use leading zeroes to accumulate ten digits after two-letter prefix)
Unpublished Copyright	Use prefix as above + U then follow with nine digits, using leading zeroes as necessary. For example, VAU000000001 or SRU000123456

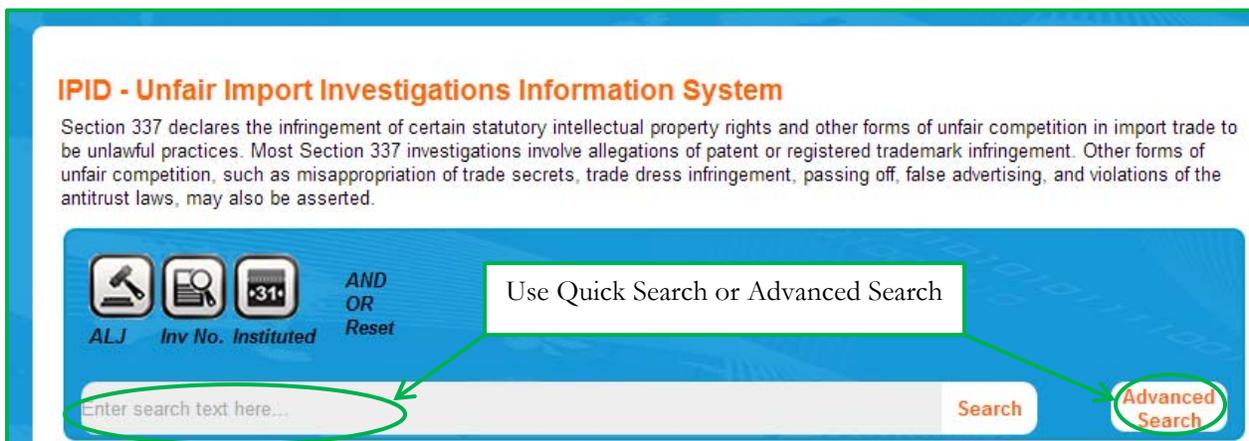
## How do I find investigations involving trade secrets?

Use 337Info's Advanced Search function to search on Unfair Act Alleged. When you select the Unfair Act Alleged field on the Advanced Search page, a drop-down menu of choices will appear. To search for investigations involving trade secret allegation(s), select Trade Secret from the drop-down and click Search.



### How do I find investigations involving a certain country?

Let's say you want to know which investigations involve Canada<sup>3</sup>. You may execute this search via the Quick Search dialogue box on the 337Info homepage or via the Advanced Search functionality.



The Quick Search dialogue box searches all 337Info fields for the criteria entered. If you type “Canada” in the Quick Search dialogue box, your search will potentially return a list of investigations in which Canada is involved but also those investigations in which the word “Canada” appears in the Complainant/Respondent name fields, for example. Therefore, you may wish to use the Advanced Search function to better direct your search results. The

<sup>3</sup> The country listed in the database reflects the business address of a party proposed in the complaint or named in the investigation.

Advanced Search function will allow you to search the Complainant and/or Respondent Country of Origin field for “Canada.” The Advanced Search function’s Boolean operators allow you to construct a query that searches for investigations involving Canadian complainants, Canadian respondents, or both Canadian complainants and respondents.

Please refer to guidance under the Help tab on the 337Info homepage (<http://pubapps2.usitc.gov/337external/help>) for assistance regarding complex queries.

### How do I find investigations before a certain administrative law judge (ALJ)?

This is most efficiently done using the Targeted Quick Search field options on the 337Info homepage. Click the icon labeled ALJ. The ALJ Assigned field will appear in the Quick Search dialogue box. Type any portion of the last name of the ALJ upon which you wish to search and click Search.

Your search results will return a list of investigations instituted on, or after, October 1, 2008 over which the given ALJ *presided at any time*. You may further refine your results to see only those cases currently before an ALJ by using the Filter By options on the left-hand side of the screen. Under Investigation Status, click Pending before ALJ. Your filtered results will show only those cases currently before an ALJ. The ALJ currently presiding over the investigation is listed in the second column from the right on the search results page.

**Filter Results By...**      **Results found 8 for Criteria: " aljAssigned.userName\_m:bullock"**      You are searching the 337 Investigation Database  
 🔍 [Hover to View Snapshot](#)

Investigation Type: 0	Title (In the Matter of Certain):	Inv. No. ▼	Inv.Type	ALJ Assigned	Date of Institution
<input type="checkbox"/> Violation (60)	Archery Products and Related Marketing Materials	919	Violation	Charles Bullock	06/16/2014
<input type="checkbox"/> Advisory (3)	Hemostatic Products and Components Thereof	913	Violation	Charles Bullock	03/07/2014
<input type="checkbox"/> Modification (2)	Antivenom Compositions and Products Containing the Same	903	Violation	Charles Bullock	12/11/2013
<input type="checkbox"/> Enforcement (5)	Vision-Based Driver Assistance System Cameras and Components Thereof	899	Violation	Charles Bullock	11/14/2013
<input type="checkbox"/> Bond Forfeiture (3)	Flash Memory Chips and Products Containing the Same	893	Violation	Charles Bullock	09/09/2013
<input type="checkbox"/> Bond Return (1)	Wireless Devices with 3G and/or 4G Capabilities and Components Thereof	868	Violation	Theodore Essex	02/05/2013
<b>Investigation Status: 0</b>	Ground Fault Circuit Interrupters and Products Containing Same	739	Bond Forfeiture	Charles Bullock	
<input type="checkbox"/> Pre-Institution (0)	Ground Fault Circuit Interrupters and Products Containing Same	739	Bond Return	Charles Bullock	
<input checked="" type="checkbox"/> Pending before ALJ (8)					
<input type="checkbox"/> Pending before Commission (7)					
<input type="checkbox"/> Terminated (53)					
<input type="checkbox"/> Appeal Pending (3)					
<input type="checkbox"/> Appeal Concluded (3)					

**Participants**

**Complainant/Requester(s): 0**  
 Narrow by Complainant/Requester(s)  
 Selected Complainant/Requester(s)

**Respondent(s): 0**  
 Narrow by Respondent(s)  
 Selected Respondent(s)

Use the Investigation Status filters to further refine your search

The name listed in this column is the ALJ currently (or last) presiding over the investigation

### How do I find investigations with upcoming Section 337 evidentiary hearings?

From the Advanced Search page, select Evidentiary Hearing Scheduled Start under the Investigation Dates heading. A calendar selector tool will appear that will allow you to choose a range of dates. For instance, if you would like to see hearings currently scheduled for August 2014, input a range of 2014-08-01 through 2014-08-31 and click Search.

Advanced Search - Query Builder:

Your Query:

**Evidentiary Hearing Scheduled Start: [2014-08-01 TO 2014-08-31 ]**

Query Builder Guide \*Searches performed with no explicit operator will default to "OR".

Fields to query on:

Investigation	Investigation Dates	Complainant	Respondent	Intellectual Property	Operators
All Fields	Motion/Complaint Filed	Complainant	Respondent	HTS Number	AND
Title	Date of Institution	Lead Counsel	Lead Counsel	Patent Number	OR
Investigation No.	Target Date Order Issued	Country of Origin	Country of Origin	Trademark Number	NOT
Investigation No. Range	Target Date	<b>Agency Participation</b>	Disposition by Respondent	Copyright Number	)
Docket No.	Evidentiary Hearing Schedule Start	ALJ	Disposition by Unfair Act		(
Unfair Act Alleged	Evidentiary Hearing Schedule End	OUII Attorney	Remedial Orders Issued		
Product Basket	Evidentiary Hearing Actual Start	General Counsel	Status of Remedial Order		

The results will provide a list of investigations with hearings scheduled to begin in August 2014. Note that some of the investigations listed in your results may have terminated, thereby making irrelevant the scheduled hearing date. To see only those investigations still active and with a pending hearing in the given timeframe, use the Filter By option on the left-hand side of the screen to select only those cases with an Investigation Status of Pending before ALJ or Pending before Commission.

**Filter Results By...** **Results found 6 for Criteria: " scheduledStartDateEvidHear:[2014-08-01 TO 2014-08-31 ]"**

**Investigation Type:**

- Violation (5)
- Advisory (1)
- Modification (0)
- Enforcement (0)
- Bond Forfeiture (0)
- Bond Return (0)

**Investigation Status:**

- Pre-Institution (0)
- Pending before ALJ (5)
- Pending before Commission (0)
- Terminated (1)
- Appeal Pending (5)
- Appeal Concluded (0)

**Participants**

**Complainant/Requester(s):**

Narrow by Complainant/Requester(s)

Selected Complainant/Requester(s)

**Respondent(s):**

Narrow by Respondent(s)

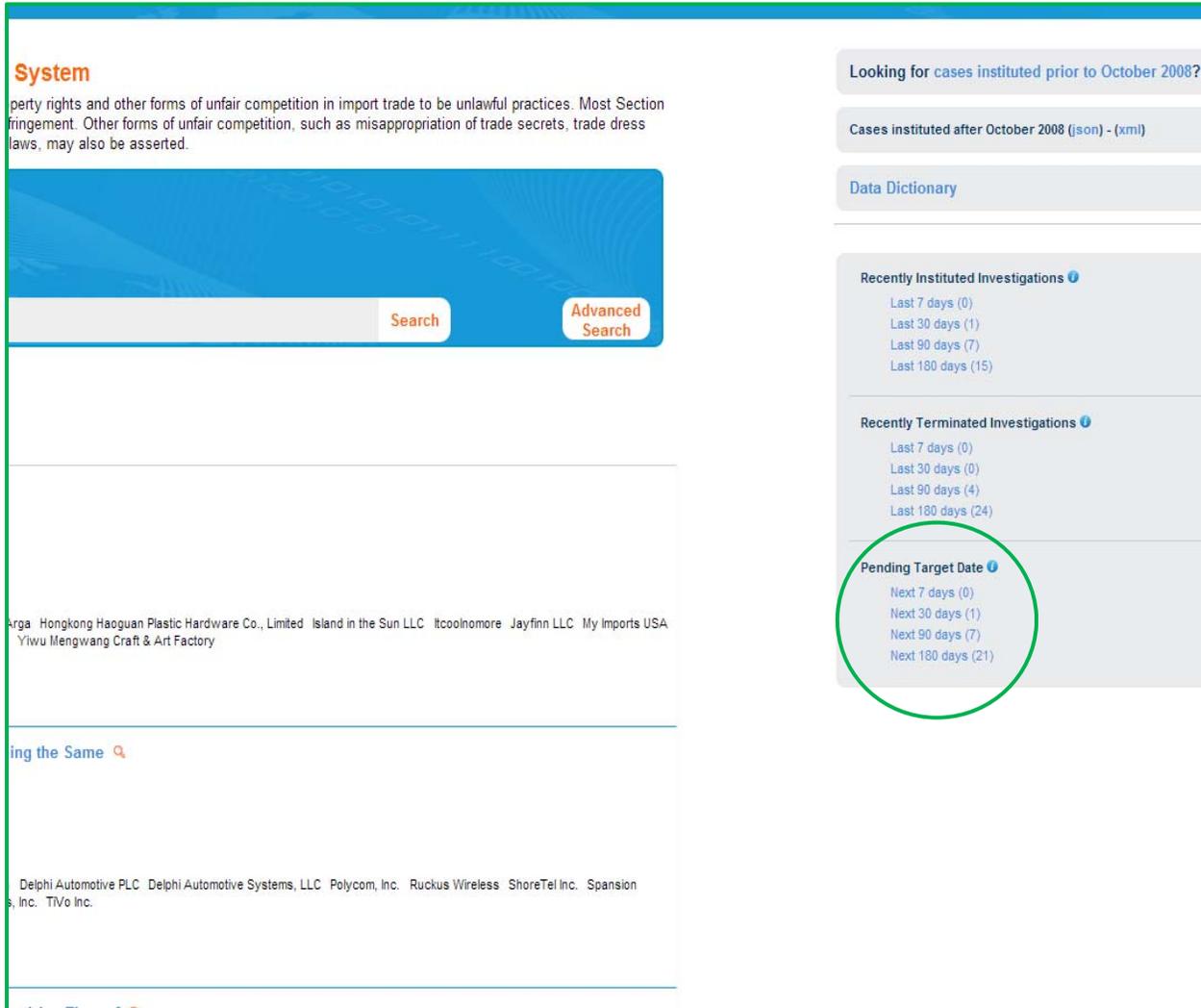
Selected Respondent(s)

Title (In the Matter of Certain):	Inv. No.
Soft-Edged Trampolines and Components Thereof	908
Acousto-Magnetic Electronic Article Surveillance Systems, Components Thereof, and Products Containing Same	904
Antivenom Compositions and Products Containing the Same	903
Navigation Products, Including GPS Devices, Navigation and Display Systems, Radar Systems, Navigational Aids, Mapping Systems	900
Optical Disc Drives, Components Thereof, and Products Containing the Same	897
Ground Fault Circuit Interrupters and Products Containing Same	739

Your results may include cases that have terminated. If so, use the Investigation Status filter to select only those cases currently in Pending before ALJ, or Pending before Commission status

## How do I find investigations with upcoming target dates?

There is a filter option on the 337Info homepage that will provide a list of investigations with target dates pending in the next 7, 30, 90, or 180 days, depending upon the option selected.



The screenshot shows the 337Info homepage. On the left, there is a search bar with a 'Search' button and an 'Advanced Search' button. Below the search bar, there is a list of companies: 'Yiwan Hongkong Haoguan Plastic Hardware Co., Limited', 'Island in the Sun LLC', 'Itcoolomore', 'Jayfinn LLC', 'My Imports USA', and 'Yiwu Mengwang Craft & Art Factory'. Below this list, there is a section titled 'Finding the Same' with a magnifying glass icon, and a list of companies: 'Delphi Automotive PLC', 'Delphi Automotive Systems, LLC', 'Polycom, Inc.', 'Ruckus Wireless', 'ShoreTel Inc.', 'Spansion, Inc.', and 'TiVo Inc.'. On the right side of the page, there is a sidebar with several sections: 'Looking for cases instituted prior to October 2008?', 'Cases instituted after October 2008 (json) - (xml)', 'Data Dictionary', 'Recently Instituted Investigations' (with sub-options: Last 7 days (0), Last 30 days (1), Last 90 days (7), Last 180 days (15)), 'Recently Terminated Investigations' (with sub-options: Last 7 days (0), Last 30 days (0), Last 90 days (4), Last 180 days (24)), and 'Pending Target Date' (with sub-options: Next 7 days (0), Next 30 days (1), Next 90 days (7), Next 180 days (21)). The 'Pending Target Date' section is circled in green.

The resulting list may include investigations that have terminated at the Commission. To see only investigations currently active at the Commission with a target date within the timeframe selected, use the Filter By options on the left-hand side of the page to select investigations with an Investigation Status of Pending before ALJ and Pending before Commission.

## How do I find investigations that have recently terminated at the Commission?

There is a filter option on the 337Info homepage that will provide a list of investigations that have terminated at the Commission in the past 7, 30, 90, or 180 days, depending upon the option selected.

**System**  
 property rights and other forms of unfair competition in import trade to be unlawful practices. Most Section 337 infringement. Other forms of unfair competition, such as misappropriation of trade secrets, trade dress laws, may also be asserted.

Looking for cases instituted prior to October 2008?  
 Cases instituted after October 2008 (json) - (xml)  
 Data Dictionary

Recently Instituted Investigations ⓘ  
 Last 7 days (0)  
 Last 30 days (1)  
 Last 90 days (7)  
 Last 180 days (15)

**Recently Terminated Investigations ⓘ**  
 Last 7 days (0)  
 Last 30 days (0)  
 Last 90 days (4)  
 Last 180 days (24)

Pending Target Date ⓘ  
 Next 7 days (0)  
 Next 30 days (1)  
 Next 90 days (7)  
 Next 180 days (21)

Search Advanced Search

... Hongkong Haoguan Plastic Hardware Co., Limited Island in the Sun LLC Itcoolhomore Jayfinn LLC My Imports USA Yiyu Mengwang Craft & Art Factory

... ing the Same 🔍

... Delphi Automotive PLC Delphi Automotive Systems, LLC Polycom, Inc. Ruckus Wireless ShoreTel Inc. Spansion, Inc. TiVo Inc.

### How do I find investigations currently on appeal?

From the 337Info homepage, type an asterisk (\*) in the Quick Search dialogue box and click Search. This will result in a list of all proceedings currently in 337Info, in reverse chronological order, by investigation number. Filter By options are offered on the left-hand side of the results page. To see cases currently on appeal, check the box labeled Appeal Pending under the heading Investigation Status.

**Filter Results By...**      **Results found 316 for Criteria: ""**      You are searching the 337 Investigation Database  
 Hover to View Snapshot

**Investigation Type:** 0

Clear Filter

- Violation (277)
- Advisory (6)
- Modification (5)
- Enforcement (12)
- Bond Forfeiture (8)
- Bond Return (8)

**Inves**

Clear Filter

- Pre-Institution (3)
- Pending before ALJ (38)
- Pending before Commission (19)
- Terminated (237)
- Appeal Pending (9)
- Appeal Concluded (17)

**Participants**

**Complainant/Requester(s):** 0

Narrow by Complainant/Requester(s)

Selected Complainant/Requester(s)

Dependent(s): 0

Title (In the Matter of Certain):	Inv. No.	Inv. Type	ALJ Assigned	Date of Institution
Windshield Wipers and Components Thereof	928	Violation	Thomas Pender	
Noise Cancelling Headphones and Components Thereof	927	Violation	David Shaw	
Marine Sonar Imaging Systems, Products Containing the Same, and Components Thereof	926	Violation	Theodore Essex	
Communications or Computing Devices, and Components Thereof	925	Violation	Sandra Lord	08/21/2014
		Violation	Charles Bullock	08/12/2014
		Violation		08/06/2014
Devices Containing Non-Volatile Memory and Products Containing the Same	922	Violation	Thomas Pender	08/04/2014
Marine Sonar Imaging Devices, Including Downscan and Sidescan Devices, Products Containing the Same, and Components Thereof	921	Violation	David Shaw	07/14/2014
Integrated Circuits and Products Containing the Same	920	Violation	Sandra Lord	07/02/2014
Archery Products and Related Marketing Materials	919	Violation	Charles Bullock	06/16/2014
Toner Cartridges and Components Thereof	918	Violation	David Shaw	06/12/2014
Silicon Tuners and Products Containing Same, Including Television Tuners	917	Violation	Theodore Essex	06/11/2014
Non-Volatile Memory Chips and Products Containing the Same	916	Violation	Sandra Lord	06/04/2014

**Check Appeal Pending to show only those cases currently on appeal.**

The results list will filter automatically to show only those cases currently marked with an investigation status of Appeal Pending. Clicking on the Title will take you to the Summary Investigation Information page for the underlying investigation. To see information pertinent to the appeal(s) currently pending for the given investigation, scroll down on this page to the header titled Associated Litigation.

**Summary Investigation Information**

Title (In the Matter of Certain):  
**Mobile Devices, Associated Software, and Components Thereof**

**Participant Information**

**Complainant Information**

Name - City/State/Country	Lead Counsel for Service	Active - Inactive
<input checked="" type="checkbox"/> Microsoft Corporation - Redmond, WA, USA	Sidley Austin LLP	11/01/2010 - 05/18/2

**Respondent Information**

Name - City/State/Country	Lead Counsel for Service	Active - Inactive
<input checked="" type="checkbox"/> Motorola Mobility, Inc. - Libertyville, IL, USA	Steptoe & Johnson LLP	11/01/2010 - 05/18
<input checked="" type="checkbox"/> Motorola, Inc. - Schaumburg, IL, USA	Steptoe & Johnson LLP	11/01/2010 - 07/11

**Agency Participant Information**

Office of Unfair Import Investigations (OUII)	General Counsel (GC)	Administrative Law Judge (ALJ)
Level of Participation: None	<input checked="" type="checkbox"/> - Active <input checked="" type="checkbox"/> - Inactive Name <input checked="" type="checkbox"/> Jean Jackson <input checked="" type="checkbox"/> Michael Liberman	<input checked="" type="checkbox"/> - Active <input checked="" type="checkbox"/> - Inactive Name <input checked="" type="checkbox"/> Theodore Essex

**Associated Litigation**

**CAFC Appeals**

Case Name: Motorola Mobility, LLC (formerly known as Motorola Mobility, Inc.), Appellant, Intervenor.	Date Appeal Petition Filed
Case Number: 2012-1535	Date of ITC Brief
Intervenor:	Date of Oral Argument
Appellant: Motorola Mobility, LLC	Rehearing ITC Brief
Amicus/Amici:	Rehearing Oral Argumen

The Associated Litigation section contains information related to any appellate litigation, including any subsequent remand back to the Commission

### How do I find investigations in which a violation of Section 337 was found?

Dispositions are stored in 337Info at the respondent level. The most efficient way to retrieve a list of investigations in which at least one respondent was found to be in violation of Section 337 is to use the Advanced Search functionality. On the Advanced Search page, select Disposition by Respondent. Once selected, a drop-down menu will appear. Select Violation Found and click search.

**Advanced Search - Query Builder:**

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Your Query:\*

✖ *Disposition by Respondent:* 

""

"Complaint Withdrawn"

"Consent Order"

"Default"

"No Violation Found"

"Other"

"Settlement"

"Summary Determination - No Violation"

"Summary Determination - Violation"

"Violation Found"

Query Builder Guide

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Fields to query on:

Investigation ⓘ	Investigation Dates ⓘ	Complainant ⓘ	Respondent ⓘ
<ul style="list-style-type: none"> <li>All Fields</li> <li>Title</li> <li>Investigation No.</li> <li>Investigation No. Range</li> <li>Docket No.</li> <li>Unfair Act Alleged</li> <li>Product Basket</li> </ul>	<ul style="list-style-type: none"> <li>Motion/Complaint Filed</li> <li>Date of Institution</li> <li>Target Date</li> <li>Evidentiary Hearing Schedule Start</li> <li>Evidentiary Hearing Schedule End</li> <li>Evidentiary Hearing Actual Start</li> <li>Evidentiary Hearing Actual End</li> <li>Final ID on Violation Issued</li> <li>Final ID on Violation Due</li> <li>Non Final (Terminating) ID Issued</li> <li>Final Determination of No Violation</li> <li>Final Determination of Violation</li> <li>Termination Date</li> </ul>	<ul style="list-style-type: none"> <li>Complainant</li> <li>Lead Counsel</li> <li>Country of Origin</li> <li><b>Agency Participation ⓘ</b></li> <li>ALJ</li> <li>OUII Attorney</li> <li>General Counsel</li> </ul>	<ul style="list-style-type: none"> <li>Respondent</li> <li>Lead Counsel</li> <li>Country of Origin</li> <li>Disposition by Resp</li> <li>Disposition by Unfal</li> <li>Remedial Orders Iss</li> <li>Status of Remedial</li> </ul>

The results page will list investigations in which at least one respondent was found to be in violation of Section 337. If you wish to see investigations in which *only* a violation was found, you can utilize the AND and NOT Boolean operators to construct a query to search for investigations with Violation Found as the only disposition. Please refer to guidance under the Help tab on the 337Info homepage (<http://pubapps2.usitc.gov/337external/help>) for assistance regarding complex queries.

**How do I find investigations in which a general exclusion order issued and is currently active?**

Remedial orders and their corresponding status are stored in 337Info at the respondent level. The most efficient way to retrieve a list of investigations in which a general exclusion order has issued and is currently active is by using the Advanced Search functionality. On the Advanced Search page, select Remedial Orders Issued. Once selected, a drop-down menu will appear with the available remedial order choices. Select General Exclusion Order (GEO). In order to see GEOs currently active, you can further tailor your query to search for only investigations in which the Status of Remedial Order field is set to Active or Modified. Utilize the AND, OR and ( ) Boolean operators to construct such a query. The query that would achieve the search results desired would read as follows:

Type of Remedial Order: General Exclusion Order AND (Status of Remedial Order: Active OR Status of Remedial Order: Modified)

**Advanced Search - Query Builder:**

Your Query:

x Type of Remedial Order: "General Exclusion Order" x AND x ( x Status of Remedial Order: "Active" x OR x Status of Remedial Order: "Modified" x )

Query Builder Guide \*Searches performed with no expi

Fields to query on:

Investigation	Investigation Dates	Complainant	Respondent	Intellectual Property	Oper
All Fields	Motion/Complaint Filed	Complainant	Respondent	HTS Number	AND
Title	Date of Institution	Lead Counsel	Lead Counsel	Patent Number	OR
Investigation No.	Target Date Order Issued	Country of Origin	Country of Origin	Trademark Number	NOT
Investigation No. Range	Target Date	<b>Agency Participation</b>	Disposition by Respondent	Copyright Number	)
Docket No.	Evidentiary Hearing Schedule Start	ALJ	Disposition by Unfair Act		(
Unfair Act Alleged	Evidentiary Hearing Schedule End	OUII Attorney	Remedial Orders Issued		
Product Basket	Evidentiary Hearing Actual Start	General Counsel	Status of Remedial Order		
	Evidentiary Hearing Actual End				
	Final ID on Violation Issued				
	Final ID on Violation Due				
	Non Final (Terminating) ID Issued				

Please refer to guidance under the Help tab on the 337Info homepage (<http://pubapps2.usitc.gov/337external/help>) for assistance regarding how to construct complex queries.